

स्वावलंबन कनेक्ट केंद्र संचालित करने के लिए एजेंसी के
चयन हेतु प्रस्ताव संबंधी अनुरोध

Request for Proposal [RfP] for Selection of
Agency to roll out Swavalamban Connect Kendra

निविदा सं. : _____ दिनांक 02 जनवरी, 2023

Tender No.: _____ dated January 02, 2023

भारतीय लघु उद्योग विकास बैंक
विकास एवम प्रभाव कार्यक्रमछठा तल, सिडबी टावर, लखनऊ प्रधान कार्यालय
15, अशोक मार्ग, लखनऊ - 226001

**Small Industries Development Bank of India
Programmes for Development and Impact,
6thFloor, SIDBI Tower, Lucknow Head Office,
15, Ashok Marg, Lucknow - 226001**

वेबसाइट / Website: www.sidbi.in

दस्तावेज़ की कीमत /Price of the document: ` 1,180/- (including applicable GST)

यह दस्तावेज़ भारतीय लघु उद्योग विकास बैंक (SIDBI) की संपत्ति है। यह सिडबी की लिखित अनुमति के बिना किसी भी माध्यम, इलेक्ट्रॉनिक या अन्यथा, पुनर्प्रस्तुत, वितरित या अभिलिखित नहीं किया जा सकता है। इस दस्तावेज़ में उल्लिखित सामग्री के उपयोग, यहाँ तक कि निर्दिष्ट उद्देश्य के अतिरिक्त, किसी भी अन्य उद्देश्य के लिए अधिकृत कर्मियों /एजेंसियों के लिए भी कड़ाई से निषिद्ध है, क्योंकि इसे कॉपीराइट का उल्लंघन समझा जाएगा और इस प्रकार यह भारतीय कानून के अंतर्गत दंडनीय होगा।

This document is the property of Small Industries Development Bank of India (SIDBI). It may not be copied, distributed or recorded on any medium, electronic or otherwise, without SIDBI's written permission. Use of contents given in this document, even by the authorised personnel/agencies for any other purpose other than the purpose specified herein, is strictly prohibited as it shall amount to copyright violation and thus shall be punishable under the Indian law.

बोली हेतु आमंत्रण /INVITATION TO BID

1 प्रस्तावना एवं आवश्यकताएँ /INTRODUCTION AND REQUIREMENT:

भारतीय लघु उद्योग विकास बैंक अधिनियम, 1989 के माध्यम से स्थापित निगम भारतीय लघु उद्योग विकास बैंक (सिडबी) एमएसएमई क्षेत्र के संवर्द्धन, वित्तपोषण और विकास के लिए प्रमुख वित्तीय संस्थान के रूप में कार्य करता है और यह इस प्रकार की गतिविधियों में संलग्न संस्थाओं के कार्यों में समन्वय भी करता है। सिडबी की भूमिका और इसके प्रकार्य इसकी वेबसाइट <https://www.sidbi.in/> में विनिर्दिष्ट हैं।

Small Industries Development Bank of India [SIDBI], a corporation established by the Small Industries Development Bank of India Act, 1989, acts as the principal Financial Institution for promotion, financing and development of the MSME sector and also to coordinate with institutions engaged in similar activities. The role and function of SIDBI are given in its website <https://www.sidbi.in/>.

सिडबी स्वावलंबन कनेक्ट केंद्र संचालितकरने हेतु मान्यताप्राप्त एजेंसियों से पैरा 6 में निर्दिष्ट नियम एवं शर्तों (कार्य संबंधी दायरा) के अनुरूप, अपने क्रियाकलापों के निर्वहन हेतु आवेदन आमंत्रित करता है।

The Bank invites applications from accredited agencies to roll out SIDBI Swavalamban Connect Kendra for SIDBI as per para 6 (Scope of Work) of Term and Conditions.

आपसे अनुरोध है कि आप अपनी बोली संलग्न अनुलग्नकों और प्ररूपों में उल्लिखित अनुसूची तथा नियमों और शर्तों के समनुरूप विधिवत रूप से प्रस्तुत करें।

You are requested to submit your bids strictly conforming to the schedule and terms and conditions given in **Annexures** and formats attached.

2. महत्वपूर्ण सूचना/CRITICAL INFORMATION:

S.No. क्र.सं.	कार्यक्रम / Events	विवरण/ Particular / दिनांक और समय/ Date Time																								
1	उद्देश्य / Purpose	स्वावलंबन कनेक्ट केंद्र संचालित करने हेतु एजेंसी का चयन / Selection of agency to roll out Swavalamban Connect Kendra in 5 states namely Bihar, Jharkhand, Karnataka, Maharashtra, and Uttar Pradesh																								
2	बयाना राशि / EMD	<p>सिडबी स्वावलंबन कनेक्ट केंद्र संचालित करने हेतु राज्यवार बोली आवेदन के अनुसार सभी प्रतिक्रियाओं के साथ एक वापसी योग्य ब्याज मुक्त सुरक्षा जमा बयाना राशि देनी होगी।</p> <p>All the responses must be accompanied by a refundable interest free security deposit / EMD as per the State wise bid application from agency to roll out SIDBI Swavalamban Connect Kendra</p> <table border="1"> <thead> <tr> <th>S.No</th> <th>State Name</th> <th>No. of Districts</th> <th>EMD Amount (₹)</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Bihar</td> <td>38</td> <td>324000</td> </tr> <tr> <td>2</td> <td>Jharkhand</td> <td>24</td> <td>212000</td> </tr> <tr> <td>3</td> <td>Karnataka</td> <td>31</td> <td>268000</td> </tr> <tr> <td>4</td> <td>Maharashtra</td> <td>36</td> <td>308000</td> </tr> <tr> <td>5</td> <td>Uttar Pradesh</td> <td>75</td> <td>620000</td> </tr> </tbody> </table>	S.No	State Name	No. of Districts	EMD Amount (₹)	1	Bihar	38	324000	2	Jharkhand	24	212000	3	Karnataka	31	268000	4	Maharashtra	36	308000	5	Uttar Pradesh	75	620000
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3	आवेदन शुल्क/Application Fee	<p>सभी आवेदनों के साथ ₹.1,180/- (रुपए एक हजार एक सौ अस्सी मात्र) लागू जीएसटी सहित की गैर वापसी योग्य आवेदन शुल्क देना होगा।</p> <p>All the application must be accompanied by a Non-refundable application fee of ₹1,180/- (Rupees One Thousand One Hundred & Eighty only), including applicable GST.</p>																								

4	बोली-पूर्व बैठक के लिए स्पष्टीकरण की मांग की अंतिम तिथि / Last date for seeking clarifications for pre-bid meeting	08 जनवरी, 2023 को अपराह्न 23.59 बजे तक / January 08, 2023 by 23.59 pm Clarifications if any to be sought only via email at E-mail Ids mentioned at Sl. No. 12 of this section, no other means shall be entertained.
5	बोली-पूर्व बैठक/Pre Bid meeting	09 जनवरी, 2023 को अपराह्न 03.00 बजे तक / January 09, 2023 by 03:00 pm The meeting shall be virtual/online over Microsoft Teams. Only those agencies seeking clarification would be sent invite to attend the meeting
6	Last date for submission of bids/ बोली जमा करने की अंतिम तिथि	23 जनवरी, 2023 को 18:00 बजे तक / January 23, 2023, by 18:00 PM Only E- bids (Through GeM Portal) to be furnished. No physical bids shall be entertained. *
7	Address for Bid Submission / pre-bid / बोली जमा करने का पता	Not Applicable at this stage (Bids are to be submitted through GeM Portal) • While submitting the bids on GeM Portal, the bidder shall prepare three zip files as per the following for submission to SIDBI through email: 1. Minimum Eligibility Bid.zip (containing all the necessary information / templates / annexures to be provided as per the tender document) 2. Technical Bid.zip (containing all the necessary information / templates / annexures to be provided as per the tender document) - Must be password protected 3. Commercial Bid.zip (as per the format provided in the tender) – Must be password protected. The bids received within the deadline i.e. 23 rd January, 2023 by 18:00 hrs. shall only be considered for further evaluation. It is clarified that any delay / lag due to connectivity or for whatsoever reason leading to delay in submission of the bids/proposal shall be not accepted by SIDBI. TECHNICAL & COMMERCIAL BIDS The soft copies of the technical & commercial bids should be in the .pdf format (not editable) and “Password Protected” . The passwords shall be shared by the bidders at the time of opening of the technical & commercial proposals. The technical bids shall

		<p>be opened only for bidders meeting minimum eligibility criteria. Further, commercial bids shall be opened only for technically qualified bidders. The technical and commercial bids in any other format and not meeting the above criteria shall not be accepted.</p> <p>Further, it is also clarified that at the time of opening of the technical & commercial bid, if the file does not open or shows some error like "invalid file" or "corrupted file" or "Wrong Password" or any other reason, the bid shall not be considered for further evaluation and shall be considered as "Invalid", and the respective bidder shall automatically be disqualified for the technical & commercial evaluation stages.</p>
8	न्यूनतम व तकनीकी बोली खोलने की तिथि व समय / Date & Time of Opening of Minimum Eligibility bid & Technical bid	24 जनवरी, 2023 को अपराह्न 4:00 बजे तक / January 24, 2023 by 4:00 PM
9	वाणिज्यिक बोली खोलने की तिथि व समय/Date and time of opening of commercial bids	बाद में सूचित किया जायेगा/To be intimated at a later date
10	बोली के वैद्यता / Bid Validity	बोली जमा करने की अंतिम तिथि से 180 दिन तक। 180 days from the last date of bid submission.
11	बोलीदाताओं द्वारा की जाने वाली प्रस्तुतियाँ/Presentations to be made by bidders	बोलीदाताओं को प्रस्तुति देने का प्रबंध करना होगा। जिसकी तिथि बोली प्रस्तुत करने के बाद सूचित की जाएगी। The bidders are required to arrange for Presentation. Date would be intimated after bid submission.
12	सिडबी अधिकारियों के संपर्क विवरण / Contact details of SIDBI officials	
	श्रीमति इंदु रावत Smt. Indu Rawat	श्री शारदा प्रसाद Shri Sharda Prasad
	0522-4259796 irawat@sidbi.in	0522-4259777 sharda@sidbi.in
		श्री दिनेश प्रसाद Shri Dinesh Prasad
		0522-4259739 dineshp@sidbi.in
13	स्वतंत्र बाहरी निगरानीकर्ता (आईईएम) केंद्रीय सतर्कता आयोग द्वारा नियुक्त / Independent External Monitor (IEM) appointed by the CVC	श्री नागेश्वर राव कोरीपल्ली (आईआरएस, सेवानिवृत्त) ३८ द ट्रेल्स, मानिकोडा, आर. आर. डिस्ट्रिक्ट हैदराबाद - 500089, मोबाइल: 9788919555 / knageshwarrao@gmail.com

		Shri. Nageshwar Rao Koripaali, (IRS retd.), 38, The Trails, Manikonda, R.R District Hyderabad -500089, Mobile: 9788919555, knageshwarrao@gmail.com
14	सिडबी की वेबसाइट / Website of SIDBI	https://www.sidbi.in/

* The agency who will be selected shall require to submit bids in hardcopies also subsequently.

Note:

1. SIDBI reserves the right to change dates without assigning any reasons thereof. Intimation of the same shall be notified on the SIDBI's website
2. This bid document is not transferable. If a holiday is declared on the dates mentioned above, the bids shall be received / opened on the next working day at the same time specified above and at the same venue unless communicated otherwise.
3. Online bids should be submitted in password protected format
4. Pre-Bid and Bid opening meetings can be attended via Skype/Teams with prior permission of SIDBI on authorized links to be provided by SIDBI.
5. Application fee and EMD can be paid online

Bank account details of SIDBI for online fund transfer:

Particulars	Details
Account Name	SIDBI ADMIN
Type of Account	Current Account
Account No.	37819113150
Bank Name	State Bank of India
Branch Name	Ashok Marg, Lucknow
IFSC Code	SBIN0003347

GSTIN - 09AABCS3480N4ZT
PAN - AABCS3480N

Definitions:

- 1 SIDBI / Bank shall mean "Small Industries Development Bank of India".
- 2 RFP shall mean "RFP Document"
- 3 Recipient/ Respondent and Bidder/ Contractor / Vendor(s)/ Service Provider shall mean Respondent to the RFP Document".
- 4 State/UT: These terms are interchangeability used
- 5 Tender Document shall also mean Bid Document
- 6 Tender shall mean Pre-qualification, Technical and Financial / Commercial Bid

3 INSTRUCTION TO BIDDERS

3.1 INFORMATION PROVIDED

The RFP document contains statements derived from information that is believed to be true and reliable at the date obtained but does not purport to provide all of the information that may be necessary or desirable to enable an intending contracting party to determine whether or not to enter into a contract or arrangement with Bank in relation to the provision of services. Neither Bank nor any of its directors, officers, employees, agents, representative, contractors, or advisers gives any representation or warranty (whether oral or written), express or implied as to the accuracy, updating or completeness of any writings, information or statement given or made in this RFP document. Neither Bank nor any of its directors, officers, employees, agents, representative, contractors, or advisers has carried out or will carry out an independent audit or verification or investigation or due diligence exercise in relation to the contents of any part of the RFP document.

3.2 DISCLAIMER:

Subject to any law to the contrary, and to the maximum extent permitted by law, Bank and its directors, officers, employees, contractors, representatives, agents, and advisers disclaim all liability from any loss, claim, expense (including, without limitation, any legal fees, costs, charges, demands, actions, liabilities, expenses or disbursements incurred therein or incidental thereto) or damage, (whether foreseeable or not) ("Losses") suffered by any person acting on or refraining from acting because of any presumptions or information (whether oral or written and whether express or implied), including forecasts, statements, estimates, or projections contained in this RFP document or conduct ancillary to it whether or not the losses arise in connection with any ignorance, negligence, inattention, casualness, disregard, omission, default, lack of care, immature information, falsification or misrepresentation on the part of Bank or any of its directors, officers, employees, contractors, representatives, agents, or advisers.

3.3 COSTS TO BE BORNE BY RESPONDENTS

All costs and expenses incurred by Respondents in any way associated with the development, preparation, and submission of responses, including but not limited

to the attendance at meetings, discussions, demonstrations, presentations etc. and providing any additional information required by SIDBI, will be borne entirely and exclusively by the Recipient/ Respondent. Stamp duty that may be incurred towards entering into agreement with the successful bidder for awarding the contract has to be borne by the bidder.

3.4 NO LEGAL RELATIONSHIP

No binding legal relationship will exist between any of the Recipients / Respondents and SIDBI until execution of a contractual agreement.

3.5 RECIPIENT OBLIGATION TO INFORM ITSELF

The Recipient must apply its own care and conduct its own investigation and analysis regarding any information contained in the RfP document and the meaning and impact of that information.

3.6 EVALUATION OF OFFERS

The issuance of RFP document is merely an invitation to offer and must not be construed as any agreement or contract or arrangement nor would it be construed as any investigation or review carried out by a Recipient. The Recipient unconditionally acknowledges by submitting its response to this RFP document that it has not relied on any idea, information, statement, representation, or warranty given in this RFP document.

3.7 ACCEPTANCE OF SELECTION PROCESS

Each Recipient / Respondent having responded to this RfP acknowledges to have read, understood and accepts the selection & evaluation process mentioned in this RfP document. The Recipient / Respondent ceases to have any option to object against any of these processes at any stage subsequent to submission of its responses to this RfP.

3.8 ERRORS AND OMISSIONS

Each Recipient should notify SIDBI of any error, fault, omission, or discrepancy found in this RFP document but not later than twelve business days prior to the due date for lodgement of Response to RFP.

3.9 ACCEPTANCE OF TERMS

Recipient will, by responding to SIDBI for RfP, be deemed to have accepted the terms as stated in this RFP.

3.10 Requests for Proposal

3.10.1 Recipients are required to direct all communications (including pre-bid queries) related to this RfP, through the Nominated Point of Contact persons.

3.10.2 SIDBI may, in its absolute discretion, seek additional information or material from any Respondents after the RfP closes and all such information and material provided will be taken to form part of that Respondent's response.

3.10.3 Respondents should provide details of their contact person, telephone, fax, email and full address(s) to ensure that replies to RfP could be conveyed promptly.

3.10.4 If SIDBI, in its absolute discretion, deems that the originator of the question will gain an advantage by a response to a question, then SIDBI reserves the right to communicate such response to all Respondents.

3.10.5 SIDBI may, in its absolute discretion, engage in discussion with any Respondent (or simultaneously with more than one Respondent) after the RfP closes to improve or clarify any response.

3.11 Notification

SIDBI will notify all short-listed Respondents in writing or by email as soon as practicable about the outcome of their RfP. SIDBI is not obliged to provide any reasons for any such acceptance or rejection.

4 INFORMATION TO BIDDERS

4.1 BID PRICE:

4.1.1 Non-refundable Bid Price of ₹1,180/- (Rupee One Thousand One Hundred Eighty only), including applicable taxes through online transfer as per the bank details mentioned at page no. 6 above favouring '**Small Industries Development Bank of India**', as per instructions mentioned in critical section of this RfP along with RfP response.

4.1.2 The Bank may, at its discretion, reject any Bidder where the application money has not been furnished with the RfP response.

- 4.1.3 SIDBI is governed by provisions of the Public Procurement Policy for Micro and Small Enterprises (MSEs) as circulated by The Ministry of MSME, GoI. These provisions shall be applicable to Micro and Small Enterprises (MSEs) registered with District Industries Centers or Khadi and Village Industries Commission or Khadi and Village Industries Board or Coir Board or National Small Industries Corporation or Directorate of Handicrafts and Handloom or Udyog Aadhaar Memorandum / Udyam Registration or any other body specified by Ministry of Micro, Small and Medium Enterprises (MSMEs).
- 4.1.4 Request Such MSEs would be entitled for exemption from furnishing tender fee and earnest money deposit (EMD). In case of any issue on the subject matter, the MSEs may approach the tender inviting authority to resolve their grievances. Agencies/ Bidders desirous of availing exemptions/ preference under above provisions should submit a copy of proof of Registration as MSEs/ and ownership of the same by SC/ST along with the tender/RFP.
- 4.1.5 Bidder is required to inform its MSME status as per following definition, if applicable.

Revised Classification applicable w e f 1 st July 2020			
Criteria: Investment in Plant & Machinery/equipment and Annual Turnover			
Classification	Micro	Small	Medium
Manufacturing Enterprises and rendering Services	Investment in Plant and Machinery or Equipment: Not more than Rs.1 crore and Annual Turnover; not more than Rs. 5 crores	Investment in Plant and Machinery or Equipment: Not more than Rs.10 crore and Annual Turnover; not more than Rs. 50 crores	Investment in Plant and Machinery or Equipment: Not more than Rs.50 crore and Annual Turnover; not more than Rs. 250 crores

Note: It is clarified that the proof of document (UAM / NSIC Certificate, etc. as applicable) submitted by the bidder along-with the bid document shall only be considered for the purpose of checking & establishing the MSME status of the bidder. The exemption towards tender fee and EMD Fee, as allowed to MSEs, shall only be applicable & ascertained based on the document submitted and not based on the expected changes in the definition of the MSME in the future.

4.2 EARNEST MONEY DEPOSIT:

- 4.2.1 All the responses must be accompanied by a refundable interest free security deposit of amount State wise:

S.No.	State Name	No. of Districts	EMD Amount (`)
1	Bihar	38	324000
2	Jharkhand	24	212000
3	Karnataka	31	268000
4	Maharashtra	36	308000
5	Uttar Pradesh	75	620000

4.2.2 EMD should be in the form of:

4.2.2.1 Bank guarantee (BG) from a Scheduled Commercial Bank valid for a period of 6 months from the last date of submission of bid and strictly in the format as prescribed in **Annexure – XII**.

OR

Through online fund transfer to SIDBI as per Bank account details mentioned above at page no. 06.

4.2.3 Any bid received without EMD in proper form and manner shall be considered unresponsive and rejected.

4.2.4 No interest will be paid on EMD.

4.2.5 Request for exemption from EMD will not be entertained. However, MSEs registered with National Small Industries Corporation or Directorate of Handicrafts and Handloom or any other body specified by Ministry of Micro, Small and Medium Enterprises (MSMEs) are exempted from EMD.

4.2.6 The EMD amount/ BG of all unsuccessful bidders would be refunded/returned immediately upon occurrence of any the following events, whichever is earlier:

4.2.6.1 Receipt of the signed contract and performance security from the successful bidder.

OR

4.2.6.2 Issue of Letter of Intent /Purchase Order to the successful bidder.

OR

- 4.2.6.3 The end of the bid validity period, including extended period (if any),
- 4.2.7 Successful Bidder will be refunded the EMD amount only after submission of performance guarantee by the bidder.
- 4.2.8 The bid security may be forfeited if:
- 4.2.8.1 Bidder withdraws its bids during the period of bid validity.
- 4.2.8.2 Bidder makes any statement or encloses any form which turns out to be false/ incorrect at any time prior to signing of the contract.
- 4.2.8.3 In case of successful Bidder, if the Bidder fails to sign the contract or fails to furnish performance guarantee.
- 4.2.8.4 Besides forfeiting the EMD, the Bank may ban the bidder from subsequent bidding for a maximum period of 3 years.

4.3 RFP CLOSING DATE:

RFP Response should be received by SIDBI not later than the time and date mentioned in 'Bid Critical Information' section over official mail ID of SIDBI

4.4 PRE BID MEETING:

- 4.4.1 The Bank shall hold a pre-bid meeting on the date and time mentioned in 'Critical Information' section above. Purpose of the meeting is to bring utmost clarity on the scope of work and terms of the RfP being floated. The Bidders are expected to use the platform to have all their queries answered. No query will be entertained after the pre-bid meeting.
- 4.4.2 The Bank shall hold an Online pre-bid meeting over "**Microsoft Team**" on the date and time mentioned in 'Critical Information' section above.
- 4.4.3 It would be the responsibility of the Bidder's representatives (only one or two persons per bidder) to join the online pre-bid meeting. The interested bidders are advised to submit the details of the Nodal Officer (Name, Official Email ID, Mobile Number) to participate in the pre-bid meeting. While sending the clarifications / queries to SIDBI, the interested bidders can indicate the details of the nodal officers in the same mail also. The Bank shall send meeting invite only to the person whose details have been shared with the Bank. In case, the meeting invite is not received by any of the designated representatives latest by 12:00 hrs. on 09th January, 2023, he/ she should call or mail to Bank's

designated officials whose details are given in 'Critical Information' sheet
Clarification sought by bidder should be made in writing (Letter/E-mail etc) and submitted on or before the date as indicated in the 'Critical Information' section. Bank has discretion to consider any other queries raised by the bidder's representative during the pre-bid meeting.

- 4.4.4 The text of the clarifications asked (without identifying the source of enquiry) and the response given by the Bank, together with amendment to the bidding document, if any, will be posted on the Bank website, (<https://www.sidbi.in/>), Government e-Market (GeM) and Central Public Procurement Portal (CPPP) after the pre-bid meeting. It would be responsibility of the bidder to check the websites before final submission of bids. However, the Bids shall be accepted through GeM portal only.
- 4.4.5 If SIDBI, in its absolute discretion, deems that the originator of the question will gain an advantage by a response to a question, then SIDBI reserves the right to communicate such response to all Respondents.

4.5 AMENDMENT TO THE BIDDING DOCUMENTS:

- 4.5.1 At any time prior to the date of submission of Bids, the Bank, for any reason, may modify the Bidding Document, by amendment.
- 4.5.2 The amendment will be posted on Government e-Market (GeM) website, SIDBI Website(<https://www.sidbi.in/>) and Central Public Procurement Portal (CPPP) (eprocure.gov.in).
- 4.5.3 Any change in the tender terms & conditions, specifications and tender opening date, etc. is notified, sufficiently in advance of the revised tender opening date. This shall be uploaded on Government e-Market (GeM) website, (<https://www.sidbi.in/>) as Corrigendum / Addendum as well as on Central Public Procurement (CPP) Portal <https://eprocure.gov.in/>.
- 4.5.4 All Bidders must ensure that such clarifications have been considered by them before submitting the bid. Bank will not have any responsibility in case some omission is done by any bidder.

4.5.5 In order to allow prospective Bidders reasonable time in which to take the amendment into account in preparing their Bids, the Bank, at its discretion, may extend the deadline for the submission of Bids.

4.6 BID VALIDITY:

4.6.1 Prices and other terms offered by Bidders must be firm for an acceptance period of 180 days from last date for submission of bids as mentioned in 'Critical information' sheet.

4.6.2 In exceptional circumstances the Bank may solicit the Bidders consent to an extension of the period of validity. The request and response thereto shall be made in writing.

4.6.3 Bank, however, reserves the right to call for fresh quotes at any time during the period, if considered necessary.

4.7 LATE RFP POLICY.

Responses received after the due date / time i.e. bid submission date/ time would be considered late and may not be accepted or opened.

4.8 LANGUAGE OF BID:

The bid prepared by the Bidders as well as all correspondence and documents relating to the Bid exchanged by the Bidder and the Bank and supporting documents and printed literature shall be written in English.

4.9 SUBMISSION OF BIDS

4.9.1 The submission of the bids / response should be in the format outlined in this RFP. If the submission to this RFP does not include all the documents and information required or is incomplete, the RFP is liable to be summarily rejected. All submissions, including any accompanying documents, will become the property of Bank. The Recipient shall be deemed to have licensed, and granted all rights to the Bank to reproduce the whole or any portion of their submission for the purpose of evaluation and to disclose and/or use the contents of the submission as the basis for any resulting RFP process, notwithstanding any copyright or other intellectual property right of the Recipient that may subsist in the submission or accompanying documents.

4.9.2 Instructions for Bid Submission -

- The interested bidders shall submit the Bids / Proposals through GeM portal only in place of physical submission. No Physical submission of the bids shall be entertained.
- While submitting the soft copies of the bids, the bidder shall prepare three files (03) in pdf format only as per the following for submission to SIDBI through email:
 - **PDF File 01** - Minimum Eligibility Bid (containing all the necessary information / templates / annexure to be provided as per the tender document)
 - **PDF File 02** – Technical Bid (containing all the necessary information / templates / annexure to be provided as per the tender document)
 - **PDF File 03** – Password protected Commercial Bid (as per the format provided in the tender)

REGARDING “COMMERCIAL BID” SUBMISSION:

The soft copy of the commercial bid (PDF File 03 - Commercial Bid) should be in the .pdf format (not editable but printable) only and should be “Password Protected”.

The password to open the commercial bids shall be shared by the Bidders at the time of opening of the commercial proposals only. The commercial proposals shall be opened only for the technically qualified bidders. The commercial bid in any other format and not meeting the above criteria shall not be accepted and the bidder shall be considered as “Disqualified”.

SIDBI shall not be responsible for any claim by any of the bidder with regard to the cracking of the password or etc. in respect of the commercial bid.

Further, it is also clarified that at the time of opening of the commercial bid, if the bid file does not open or shows some error like “invalid file” or “corrupted file” or “Wrong Password” or any other reason, that bid shall not be considered for further evaluation and shall be considered as “Invalid Bid” and the respective bidder shall automatically be disqualified for the further evaluation stage.

- 4.9.3 The bids received within the deadline i.e. January 23, 2023 by 18:00 hrs only shall be considered for further evaluation. It is clarified that any delay / lag due to connectivity or for whatsoever reason leading to delay in submission of the proposal shall be not accepted by SIDBI. Bidder to ensure that the proposal reaches to inbox of SIDBI designated officials through email on or before the deadline. Bids received after the deadline would be summarily rejected and no communication would be entertained in this regard.
- 4.9.4 After completion of evaluation process, the succesful bidder shall also submit hard copies of all the bids documents along with annexures as submitted in soft copies through mails.

4.10 MODIFICATION AND/ OR WITHDRAWAL OF BIDS:

- 4.10.1 The Bidder can't modify its Bid once submitted through GeM Portal. However, the Bidder may withdraw its bid after the bid's submission, provided that written notice of the withdrawal of the bids is received by the Bank, prior to the deadline prescribed for submission of bids.
- 4.10.2 The Bid withdrawal notice must be on bidder's letterhead, signed and sealed. A withdrawal notice may also be sent by email and followed by a signed confirmation copy received by the Bank not later than the deadline for submission of bids.
- 4.10.3 No bid may be withdrawn after the deadline for submission of bids.
- 4.10.4 Bank has the right to reject any or all bids received without assigning any reason whatsoever. Bank shall not be responsible for non-receipt / non-delivery of the bid documents due to any reason whatsoever.

4.11 REQUEST FOR INFORMATION:

- 4.11.1 Recipients are required to direct all communications for any clarification related to this RfP, to the nominated officials and must communicate the same in writing by the time mentioned in 'Critical Information' section before. No query / clarification would be entertained over phone.

- 4.11.2 All queries relating to the RfP, technical or otherwise, must be in writing only and may be sent via email. The Bank will try to reply, without any obligation in respect thereof, every reasonable query raised by the Recipients in the manner specified.
- 4.11.3 However, the Bank will not answer any communication reaching the bank later than the time stipulated for the purpose.
- 4.11.4 The Bank may in its absolute discretion seek, but under no obligation to seek, additional information or material from any Respondents after the RfP closes and all such information and material provided must be taken to form part of that Respondent's response. Respondents should invariably provide details of their email address as responses to queries will be provided to all Respondents via email.
- 4.11.5 The Bank may in its sole and absolute discretion engage in discussion with any Respondent (or simultaneously with more than one Respondent) after the RfP closes to clarify any response.

4.12 DISQUALIFICATION

4.12.1 Any form of canvassing/ lobbying/ influence/ query regarding short listing, status etc. will result in a disqualification.

4.12.2 The Bank's Procurement Guidelines aligns with the GFR 2017. It is the responsibility of the bidders to ensure compliance with these guidelines.

4.12.3 The Consultant shall not subcontract the Services under this assignment.

4.13 PRE-CONTRACT INTEGRITY PACT (IP)

IP is an agreement between the prospective vendors / bidders and the buyer committing the persons / officials of both the parties not to exercise any corrupt influence on any aspect of the contract.

The bidder has to submit signed Pre-Contract Integrity Pact (IP) as per the format at **Annexure-XV** on the letter head of the Company. However, the successful bidder has to submit the same in non-judicial stamp paper of requisite value (to be borne by the bidder) applicable at the place of its first execution after the issue of Letter of Intent for awarding of contract.

4.14 IMPORTANT

Bidders must take the following points into consideration during preparation and submission of bids.

4.14.1 Relevant documents must be submitted as proof wherever necessary. All the pages must be sealed and signed by the authorized signatory of the respondent.

4.14.2 All documents must be numbered and proper index should be put up. The documents must be scanned in sequence, haphazard placement of documents is liable to be rejected.

4.14.3 Faxed copies of any submission are not acceptable and will be rejected by the Bank.

4.14.4 Responses should be concise and to the point. Submission of irrelevant documents must be avoided.

4.14.5 If the bids do not contain all the information required or is incomplete, the proposal is liable to be rejected.

4.14.6 The RfP is floated on SIDBI website <https://www.sidbi.in/> and Central Public Procurement Portal (CPPP) at eprocure.gov.in. SIDBI reserves the right to change the dates mentioned above. Changes and clarification, if any, related to RfP will be posted on SIDBI website and CPPP. Bidders must have close watch on SIDBI website and CPPP during the intervening period before submitting response to RfP.

4.14.7 Number of Proposals: No agency or its associate shall submit more than one Proposal. Agency applying individually or as a member of a consortium shall not be entitled to submit another proposal either individually or as a member of any other consortium.

4.14.8 In case of a Consortium, it shall, comply with the following additional requirements:

- i. Number of members in a consortium shall not exceed 2 (two) and limited to One Lead member and one consortium partner;
- ii. Members of the Consortium shall nominate one member as the lead member (the "Lead Member"). The nomination(s) shall be supported by a Power of Attorney, as per the format in this RFP, signed by all other members of the Consortium. It is expected that the Lead Member would be authorized to incur liabilities and to receive instructions and payments for and on behalf of the Consortium. The Bank expects that Lead Member should have maximum responsibility pertaining to execution of Assignment;
- iii. The Application should include a brief description of the roles and responsibilities of individual members;
- iv. No Change in the composition of the Consortium will be permitted by the Bank during the Selection Process and during the subsistence of the contract (in case the successful applicant/ consultant is a consortium).
- v. Members of the Consortium shall enter into a binding Joint Bidding Agreement (the "Jt. Bidding Agreement"), for the purpose of submitting a Proposal. The Jt. Bidding Agreement, to be submitted along with the Application, shall, inter alia:
 - a) clearly outline the proposed roles and responsibilities, if any, of each consortium member;
 - b) include a statement to the effect that all members of the Consortium shall be liable jointly and severally for all obligations of the Consultant in relation to the Assignment until the completion of the Assignment in accordance with the contract and the ToR.
 - c) clearly defines the proposed administrative arrangements (organisation chart) for the management and execution of the Assignment, if awarded to the Consortium.
 - d) except as provided under this RFP, there shall not be any amendment to the Jt. Bidding Agreement without the prior written consent of the Bank.

4.14.9 The bidder cannot quote for the project in part.

4.14.10 Each bidder shall submit bids either for one state or maximum for two states only. The bidder shall submit bids State wise.

4.15 DETAILS OF BIDS TO BE SUBMITTED

SUMISSION OF ANNEXURES

In regard to the annexures to be submitted on the letter head of the bidder, the bidder may submit the soft copies as per the following:

Annexure VI - Letter of Conformity	Scanned Copy of the document on letter head
Annexure VII - Statement of Deviation	
Annexure XII - EMD/Bid Security Form	
Annexure XIV - Power of Attorney	

All other annexures indicated in the RFP / tender documents are required to be submitted as per the tender document (soft copy -duly signed & stamped – as per the format).

It is mentioned that at the time of award of the contract, the original copy of the same shall be obtained on the Non-Judicial Stamp Paper of requisite value upfront before executing the contract with the final bidder. Bidders are required to submit their responses in three folder / envelopes / zip files / pdf files, with contents of each as under:

Folder / envelopes / zip files.	Bid Contents
I	<p>Minimum Eligibility Bid</p> <ul style="list-style-type: none"> i. Bid Covering letter as per format prescribed in Annexure-I ii. Response to Minimum Eligibility Bid as per format prescribed in Annexure-III iii. Declaration regarding clean track record, as per format prescribed in Annexure-IV. iv. Letter of Competence- Annexure V. v. Bank Mandate Form as per format prescribed in Annexure – X. vi. Proof of the payment towards Earnest Money Deposit (EMD) (Receipt Generated along-with Ref. No.) OR Bank guarantee towards EMD as per format prescribed in Annexure-XII (Bid security form). If exempted, the supporting document for the same to claim and take the benefit of the exemption. vii. Power of Attorney as per format prescribed in Annexure – XIV viii. Pre-Contract Integrity Pact as per format Annexure – XV ix. Format of Joint Bidding Agreement (in case of JV /Consortium) Annexure – XVI

Folder / envelopes / zip files.	Bid Contents
	x. Format of Power of Attorney for Lead Member of JV / Consortium Annexure – XVII
II	Technical Bid i. Technical Bid Index ii. Response to Technical Bid as per Application in Annexure-II iii. Letter of Conformity as per format prescribed in Annexure-VI iv. Statement of deviations as per Annexure-VII v. Non-disclosure Agreement as per Annexure-IX
III	Commercial Bid Response to Commercial Bid as per format prescribed in Annexure-VIII.

- 4.15.1 The Bid shall be typed or written (scanned) in indelible ink, all pages numbered and signed by Authorized signatory of the response.
- 4.15.2 Relevant documents must be submitted as proof wherever necessary. Technical specification sheets of all the items to be submitted. If the bids do not contain all the information required or is incomplete, the proposal is liable to be rejected.
- 4.15.3 The bids to be submitted strictly in e-format as mentioned at Sl. no 4.9.2. Non-password protected bids or sharing of password before being called for will make bid liable for rejection.
- 4.15.4 The RfP is hosted on Government e-Market place (GeM), SIDBI website <http://www.sidbi.in> and also on Central Public Procurement Portal (CPPP). SIDBI reserves the right to change the dates mentioned above. Changes and clarification, if any, related to RfP will be posted on Bank web site and CPPP. Bidders must have close watch on the website and CPPP during the intervening period before submitting response to RfP.

5. BACKGROUND

- a) Small Industries Development Bank of India (SIDBI), set up on April 2, 1990 under an Act of Indian Parliament, acts as the Principal Financial Institution for the Promotion, Financing and Development of the Micro, Small and Medium Enterprise (MSME) sector and for Co-ordination of the functions of the

- institutions engaged in similar activities. Financial support to MSMEs is provided by way of (a) indirect finance / refinance to eligible Primary Lending Institutions (PLIs), such as, banks, State Financial Corporations (SFCs), etc. for onward lending to MSMEs and (b) direct assistance in the niche areas with thrust on MSEs.
- b) In the context of the evolving economic landscape, the role of SIDBI has been realigned through SIDBI Vision 2.0. Under the umbrella of Vision 2.0, SIDBI aims at innovating for wider impact and to benefit the MSEs in both “setting up” and “stepping up”, thereby leading to economic growth of the country by way of generating more employment. SIDBI's Promotion and Developmental Initiatives have been woven around Mission Swavalamban, which is an umbrella framework for inducing entrepreneurship culture and supporting various livelihood and entrepreneurship programmes.
- c) Through Mission Swavalamban, the bank has been working for past three plus years in bringing a radical shift in the outlook and approach of being a Swavalambi by spreading the culture of entrepreneurship and transforming youth from “Job seekers” to “Job creators”. Aim has been to restrict the need for rural migration to urban areas, give job creator as purpose to those migrating through challenging times like COVID and include those excluded (unserved/underserved pockets/segments/sectors). The endeavor has been to contribute to an integrated credit and development support ecosystem for Indian MSEs. The initiatives are dedicated to meet both, credit and non-credit needs of MSEs, enabling Indian entrepreneur & enterprises emerge competitive.
- d) To upscale the enterprise promotion at large scale through harnessing technology Swavalamban Connect Kendra was launched in 2019 in 100 districts in 5 states namely Uttar Pradesh, Bihar, Odisha, Jharkhand and Telangana. Subsequently, Swavalamban Connect Kendra 2.0 was launched in 2022 in 100 districts covering 5 states viz. Chhattisgarh, Madhya Pradesh, Tamil Nadu, Uttarakhand, and West Bengal. SIDBI intend to further deepen the

initiative for promotion of entrepreneurship culture, new micro-enterprises, formalization of enterprises and strengthening ecosystem of enterprise promotion through Swavalamban Connect Kendra's in additional 204 districts in 5 states viz. Bihar, Jharkhand, Karnataka, Maharashtra and Uttar Pradesh.

6. SCOPE OF WORK:

The agency should be capable to roll out the proposed Swavalamban Connect Kendra (SCK) in following districts: -

S.No.	State Name	No. of districts
1	Bihar	38
2	Jharkhand	24
3	Karnataka	31
4	Maharashtra	36
5	Uttar Pradesh	75

One agency can apply for a maximum of 2 States and Bids / commercial Bids to be submitted separately for each state (State wise). The scope of work for selected agency includes but is not limited to the following:

6.1. The agency shall:

- a. place and manage a dedicated central team (to be called Project management Unit at agency level), zonal/state teams at state level and Field Managers / Executives depending upon the requirement of the agency/project. The SCKs (204 Nos – one in each district , either through own offices/setup or tie ups with implementing partners/agencies/NGOs/community based organizations with multi lingual workforce) to facilitate enterprise setting up (including conversion of informed leads, mapping gaps for suitable engagement, handholding for enterprise setting up, credit linkage) as per deliverables.
- b. The SCKs shall be a separate independent and sustainable unit and shall be branded accordingly. SIDBI reserves the right for changing / modifying / altering the nomenclature of these centers at its sole discretion. Individual SCKs to be

designed as perpetual industrial entities themselves which should operate on sustainable basis even after the project period.

- c. ensure that these physical centers to be branded / known as Swavalamban Connect Kendra (SCK), have appropriate soft and hard infrastructure including capable manpower, comply with due diligence and are ready to start.
- d. SIDBI will provide digital / MIS platform with suitable architecture for capturing each stage of initiative towards setting up enterprise and as M&E tool. It will capture the process (including uploading documents), response from aspirants, conversion rate from aspirants to actual units/enterprise, various reports to assess the weekly / monthly / quarterly progress and dashboard for client. The digital platform shall be capable of generating data, on a periodic basis w pertaining to all interactions / steps, progress captured in the IT platform in formats to be designed during implementation. The platform shall be in plug and play mode.
- e. Conduct suitable awareness programme, skilling/up-skilling/re-skilling, experience sharing and exposures, interaction with bankers etc. in each district.
- f. Develop suitable mechanism to strengthen Swavalamban Connect Kendra, build their capacities and run the initiative as self-sustainable model in long term.

However, SIDBI is not obliged to carry out all activities mentioned above. SIDBI has the discretion to change the scope of activities without having any commercial implication.

- g. The scope of work could be modified / altered / moderated to make the program more effective normally without any financial bearing but with objectives and deliverables remaining same. SIDBI may consider additional items of work if need be, in the interest of project and may compensate suitably additionally, should the need so arise in the interest of the project.

6.2. INDICATIVE PROCESS FLOW / CHART TO ACHIEVE THE TARGET FOR SWAVALAMBAN CONNECT KENDRA

- a) The agency will kick start the project and assign work to SCKs based on geographic location of tie-up (which can be NGOs/development organizations, own field offices of the agency etc.)
- b) The identified SCK will:
 - i. Organize awareness campaigns, meeting with the stakeholders in the districts such DIC, LDM, District Administration etc.
 - ii. Generate lead and guide to the aspirants for enterprise set up on their area of interest.
 - iii. seek basic details from the aspirants like educational qualification, proposed line of activity for setting up enterprise, skill possess, support required etc.
 - iv. make the aspirants aware about the various ways s/he can go for setting up an enterprise including skill training if required, raising funds for enterprise including applying online loans through Udyamimitra, psbloansin59min and other online portals etc.
 - v. Support with local knowledge of potential enterprises /challenges, ready reckoner / FAQs/videos/kits etc. Knowledge products such as Project profile etc. available with SIDBI shall be shared or hosted on Udyamimitra or any other portal managed/supported by SIDBI or any other relevant agency.
 - vi. Follow-up with the aspirant to check if they have understood the process of starting the business and ways of raising funds.
 - vii. If the aspirant seeks more details, the representative will assist for starting a business to the person, connect with suitable resource organization for next step.

- viii. Based on the update provided by the aspirants, SCK will consider the case closed with either of the three options: (i) They are going to start the business (ii) They are not going to start the business (iii) They probably need more time to think about starting a business.
- ix. SCK shall update the process on regular basis on the digital MIS platform.
- x. Setting of enterprise/business, provide handholding and submitting periodical reports on platform.

6.3. PROJECT EXECUTION STRUCTURE:

A. The project shall have a three-tier structure in place:

- i. Project Management Unit (PMU): One centrally managed PMU will be set-up by the agency for the project in each state.
- ii. State/ zonal offices and Field Managers / Executives depending upon the requirement of the agency/project.
- iii. 204 SCKs (Either own offices or through partner agencies).

B. The agency shall ensure following for smooth implementation of the programme:

- i. Identify and ensure arrangement is in place with own set up or tied up partners, zonal/field team of executives and ensure that the support services should be operational as per agreed timelines.
- ii. Provide methodology and governance structure for smooth performance including team structure, span of control, and frequency of reviews.
- iii. Framework for performance evaluation of personnel and escalation mechanism.
- iv. Updation of reporting formats/MIS and frequency of report submissions on digital platform on real time basis.
- v. Update SIDBI on the performance of SCKs onboarding / deboarding of SCKs and suitable replacement of SCKs within 30 days.

C. Broad expectations from SCKs for execution of the programme shall be as follows:

- i. To generate leads of aspirants from local vicinity / district.
- ii. To get them registered on the IT platform and to facilitate connecting them to EDPs, skilling centers (based on assessment and gap mapping) etc.
- iii. To help them with project profiles and or submitting applications with Banks / FIs both online and offline.
- iv. To connect them with formal financial institutions for credit connect.
- v. Follow-up with the units /enterprises being supported or helped in setting up.
- vi. Experience sharing of centers for cross learning and enhancing effectiveness

The above-mentioned structure / expectations are indicative in nature and shall be finalized by agency in consultation with SIDBI.

6.4. MINIMUM COMPETENCIES REQUIRED IN THE EXECUTIVES / REPRESENTATIVES OF THE AGENCY:

A. Field Managers / Executives / Representatives of the agency/center:

- i. The candidate should be minimum Graduate (preferably commerce, business studies etc.). Any course of entrepreneurship development / Certificate in SME Finance from Indian Institute of Banking and Finance (IIBF)/recognized institution would be added advantage.
- ii. The candidate should have ability to communicate effectively, both orally and in writing and possess fluency in Hindi, English and knowledge of regional language etc.
- iii. The candidate should possess good knowledge and hands on experience of using technology, digital connect to guide the aspirants and effective use the technological tools to implement the project.
- iv. The candidate having experience in promoting entrepreneurship/micro enterprises, Micro and Small Enterprise (MSE) sector would be preferred.

B. Swavalamban Connect Kendra (SCKs)

- i. Own setup or tie-up with existing NGOs/Development Institutions/ MFIs whose due diligence has been done by Agency. Preference shall be to those having experience on promoting Micro-Enterprises, Livelihoods, Skilling and Credit-connect etc.
- ii. Those having their own premises, experienced / skilled staff with knowledge of local language and having good understanding of local enterprise ecosystem/stakeholders should be given preference. The staff deputed by SCK should be well versed with computers.
- iii. The SCKs should not be blacklisted / defaulter / censured/ banned / barred /disqualified /prohibited by Govt. of India or State Government or any other agencies such as UN Agencies, NITI Aayog, NABARD, RMK, Banks etc.

6.5. DELIVERABLES:

- i. The project would be implemented in 204 districts in 5 states, Bihar -38, Jharkhand -24, Karnataka-31, Maharastra-36 and Uttar Pradesh-75 in phase wise manner and is it expected to create at least 100 new micro enterprise in each district.
- ii. The project would reach to at least 500 aspirant entrepreneurs in each districts out of that at least 100 new enterprises to be set up in each districts.
- iii. The project would reach out to 102000 aspirants and create minimum 20400 enterprises in 204 districts in a span of 24 months. State wise districts and enterprise details given below: -

S.No.	State Name	No. of districts	No. of enterprise to be created
1	Bihar	38	3800
2	Jharkhand	24	2400
3	Karnataka	31	3100
4	Maharashtra	36	3600

5	Uttar Pradesh	75	7500
	Total	204	20400

- iv. For the common understanding of the term “enterprise” from the perspective of the proposed assignment, it is expected that any legal entity i.e. proprietorship, partnership/LLP, company any other registered entity for carrying legally permitted non-farm business activity (manufacturing, service, trading) would be treated as enterprise and should be registered under Udyam registration of MoMSME.
- v. The micro-enterprises proposed to be set-up will be considered as “grounded” on agency’s certifying to the effect that the entrepreneur/enterprise is credit linked to a formal financial institution for the required investment and has received the first disbursement. (Proof of the same to be submitted through MIS platform)
- vi. In order to ensure formalization from beginning, the enterprise setup should compulsorily have following documents:
 - a) The minimum investment in the enterprise should be at least ` 50,000/- in initial phase and should be formally linked with any formal financial institutions. (Banks (including SFB, RRBs), NBFCs etc.)
 - b) PAN & Bank A/c for the enterprise
 - c) Udyam registration
- vii. The enterprise should have any three out of the following [in addition to documents mentioned in Para (v) above]:
 - a) Insurance of the enterprise / promoter
 - b) Registration on GEMS/ONDC portal, if required
 - c) Digital payment system for merchants, BHIM, UPI etc.
 - d) GST registration if applicable
 - e) Registration under shops and establishment act, FSSAI, Pollution control certificate or any other license if applicable etc.

(While reporting the deliverables the agency will furnish the details mentioned above along with the antecedents of entrepreneurs through the IT enabled digital platform developed / modified for the assignment)

6.6 Parameters for Handholding Support

If enterprise is supported in any three out of the following parameters, it will be considered as handhold/supported enterprise:

1. Accelerated EDP/Skill based training
2. Product standardization (Product labels, Products Packaging)
3. Branding – Logo development, SCK Visibility and campaign.
4. Development of Development of business processes (for ex - database of customers, suppliers, quality management SoPs,etc.) Access to accounting formats/applications.
5. Support in ensuring legal compliances.
6. Access to mentors and subject matter experts, Mentors' Network
7. Linkages with various service providers (CA/Advocate/Technology developers) and vendors (machinery/ raw materials)
8. Knowledge sharing workshops/webinars on government schemes focusing on entrepreneurship
9. Certification & quality improvements, Access to market, upgraded technology as other business services.
10. Any other activity with prior approval in writing from SIDBI.

6.7 TIMELINES:

- i. The project is expected to commence within two months of award of assignment. Prior willingness/due diligence of centers (if arrangement model) is expected to be obtained in advance.
- ii. The assignment shall be for 2 years duration for enterprise creation with periodical satisfactory review (periodicity as decided but at least Half-yearly). Further, it may be extendable for 1 more year to provide handholding support to the enterprises set-up under the initiative based on agreed terms.

6.8 CONTRACT COST / CONTRACT VALUE:

- A. The commercial quote for the assignment should comprise:
- i. Project management fee for the agency including Project Management Unit, State /zonal and field manager set up, as per scope of work in the RfP. (Including periodical assessment meeting of Project Management Unit with SIDBI.)
 - ii. Awareness and implementation cost at district level, including liasoning with stakeholders etc.
 - iii. Handholding support cost for 3rd year (12 months) for enterprise set up in initial 2 years
- B. The quote shall be for 2 years of implementation and 1 year for handholding support post establishment of enterprise. If there is extension in the timeline of the project due to any circumstances, it would be no-cost extension within the approved budget only.

6.9 PAYMENT PLAN:

Indicative payment plan shall be as follows:

- i. The lumpsum quote for 2 years shall be paid on achievement of milestones on quarterly / bimonthly outgo to the agency proportionately based on the agreed milestone. Further, SIDBI and the Agency shall finalized agreed payment terms on quarterly / bimonthly basis based on performance / achievement as per agreed milestones. For handholding support in 3rd year lumpsum quote shall be given for which payment would be made on quarterly basis after due verification of data from MIS platform on mutually agreed handholding terms. SIDBI's decision in this regard shall be final.
- ii. Invoice Clearance: Implementing Agency (IA) shall submit grounded enterprises data on monthly basis before submission of invoice so that target visits can be undertaken by SIDBI. IA shall facilitate carrying out the random visits by SIDBI Staff. After completion of target visits IA shall raise invoice.
- iii. Subject to the above, SIDBI shall agree to pay the invoice within 10 days on receipt of all disbursement related documents from AI and on completion of

target visits. The payment shall be deducted proportionately, if there is any discrepancy in data, provided by IA at the time of raising invoices. In case, any activity / event is not carried out for any deliverables/milestones including the tolerance level, no payment for the same shall be made. As the primary focus of the assignment is setting up of new micro enterprises in the intervention districts, the payment methodology would be based on milestones linked and defined deliverables in the agreed terms and condition with SIDBI. Indicative activity heads and numbers expected to be covered is appended:

Activity	Unit	Expected numbers
Awareness programmes including launch event at District level.	District	At least 500 aspirants in each district
Review meetings, experience sharing, stakeholders' meetings etc.	District / State / PMU	Based on the requirement
Training Connect: Connecting /Registering prospective entrepreneur to Recognized Skill/Training Program for skilling / up skilling	District	Based on the requirement
Support for project profile, business plan / project report for availing bank loan	Aspirants at District	Based on the requirement, however for 20400 entrepreneurs at least 102000 Business Plan/Profiles need to be created.
Credit connect-Investment in enterprise through Bank linkage	Enterprise	Bank credit includes loan from relevant schemes like MUDRA, PMEGP, Artisan Card, etc. any other programme for individual loans. For 120400 enterprise loan. (Incentive for Bank linkage would be sanctioned & paid

Quantum of Loan (₹)	Incentive for Bank credit		additionally based on the actual credit-connect).
50,000 to 99,999	3000		
1 lakh to 1,99,999	4000		
2 lakhs to 4,99,999	5000		
5 lakhs & above	6000		
Handholding support for Enterprise Setup		Enterprise	120400 enterprises
<ul style="list-style-type: none"> • Claim/expenses from each SCK shall be certified / confirmed by PMU of agency and uploaded on digital platform. • SCK / Agency shall furnish bank loan disbursement proof along with invoice and upload on digital platform. • The payment will be made on actual basis as per cost quoted by the agency. In case, any activity / event is not carried out for any particular district/any particular achievement, no payment for the same shall be made. 			

- iv. The agency shall have to inbuilt all other cost in the above-mentioned cost only. No other cost shall be paid by SIDBI separately.
- v. The agency shall ensure the minimum target for unit setup shall be achieved. In case of any dropout at any stage, the agency shall make best efforts to make arrangements for substitution to achieve the desired number.
- vi. The agency shall devise the quarterly targets in consultation with SIDBI and shall also ensure the achievement of the same. In the first year there will be a monthly review of the agency.
- vii. The payment shall be made to the agency on submission of evidence of the achievement of target and updation of digital MIS / M&E platform.
- viii. SIDBI may appoint separate M&E agency, third party impact assessment etc. the cost of the same shall be borne by SIDBI.

6.10 MISCELLANEOUS:

Criteria for selection of partner/Implementing Agency [IA] under partnership Model

Since setting up of SCK and grounding of enterprises requires expertise, the implementing partner would be chosen based on its prior experience and expertise in Livelihood/Enterprise creation and enterprise promotion, EDP credit connect, etc.

1. IA should be a registered NGO/ Trust/Society/Cooperative Societies /Section 8/Section 25 Cos. etc.
 2. IA should have experience in Enterprises creation, promoting Micro Enterprise Livelihoods, Skilling and Credit connect etc.
 3. IA should have presence in the district with experienced/skilled staff having knowledge of local language, economy and good understanding of local enterprise ecosystem/stakeholders.
 4. IA should not be blacklisted / defaulter / censured/ banned / barred /disqualified /prohibited by Govt. of India or State Government or any other agencies such as UN Agencies, NITI Aayog, NABARD, RMK, Banks etc.
 5. IA should have three years prior experience in execution of SIDBI projects or may have partnered with institutions/NGO excluding P&D/CSR project for SIDBI.
 6. IA which does not qualify point no. 5 but have good expertise in enterprise creation and presence in the districts would be connected based on the recommendation from the Regional Head and approved by Vertical Head.
 7. IA should preferably have a credit connect partner/MFI
- (i) The representative/ SCK partner/franchisee by the agency should not have any adverse police records/criminal cases against them. The agency should make adequate enquiries about the character and antecedents of the persons provided for executing outsourced services.
- (ii) The representative of the agency shall not divulge or disclose to any person any details of office operation process, technical know-how, administrative / organizational matters as all are confidential/ secret in nature.
- (iii) The representative should be polite, cordial, positive and efficient while handling the assigned work and their actions shall promote goodwill and enhance the image of SIDBI. The agency shall be responsible for any act of indiscipline or otherwise on the part of persons deployed by them.
- (iv) The agency shall comply with all the provisions of the Contract Labour (Regulation and Abolition) Act, 1970, Employees Provident Fund & Misc. Provision Act, 1952 and ESI Act, 1948, as amended from time to time and

- Rules framed thereunder and other labour laws affecting contract labour that may be brought into force from time to time and shall continue to have valid PF Account No. and ESI Registration Number till actual completion of the contract.
- (v) The agency shall comply with all relevant labour laws as applicable or as may be mentioned during the contract period and shall indemnify SIDBI against all acts / omissions, fault, breaches and /or any claim or demand, loss, injury and expenses to which SIDBI may be party or involved as a result of tenderer's failure to comply with the obligations under the relevant act / law which the tenderer is bound to follow.
 - (vi) The agency shall arrange for a substitute well in advance if there is any probability of a person leaving the job due to his/her own personal reason or any centre is getting closed due to any reason. The payment in respect of the overlapping period of the substitute shall be the responsibility of the agency.
 - (vii) SIDBI shall not be liable for any loss, damage, theft, burglary or robbery of any personal belongings, equipment or vehicles of the personnel of the service provider.
 - (viii) The agency shall indemnify SIDBI against all acts, omissions, fault, breaches and /or any claim or demand, loss, injury and expenses committed by any employee/person.

6.11 THE BIDDER / AGENCY SHALL FURTHER AGREE:

- i. SIDBI shall reserve the right to audit the bills / receipts/ vouchers on random sample basis and instances of expenditure claim(s) / document(s) being found to be false shall be viewed seriously and may result in termination of contract.
- ii. The Service Provider shall maintain all statutory registers under the applicable laws and produce the same, on demand, to the concerned authority, SIDBI or any other authority under Law.

7. EVALUATION METHODOLOGY & AWARD OF CONTRACT:

7.1. EVALUATION METHODOLOGY:

OBJECTIVE:

7.1.1. The objective of this evaluation methodology is to facilitate the selection of agency to roll out Swavalamban Connect Kendra for ensuring technically superior and professional services at optimal cost.

7.2. EVALUATION PROCESS:

7.2.1. The Bank has adopted a three (3) bid process in which the Bidder has to submit the following bids in separate envelopes at the time of submission of bids as stipulated in this document.

- i. Minimum Eligibility Criteria
- ii. Technical Bid (includes presentation)
- iii. Commercial Bid

7.2.2. The Bank shall evaluate first the 'Eligibility Criteria' bid and based on its evaluation, 'Technical Bids' shall be evaluated at the second stage. 'Commercial bids' shall be opened for only the shortlisted bidders out of technical evaluation. The final selection will be done based on Quality cum Cost Based System (QCBS) wherein Relative Technical Bid Score will get a weightage of 70% and Relative Commercial Bid Score will get a weightage of 30%.

7.2.3. The evaluation by the Bank will be undertaken by a committee of officials or/and representatives formed by the Bank and its decision will be final.

7.2.4. Normalization - SIDBI reserves the right to go for normalization process after technical evaluation and accordingly may request all the bidders to submit revised bid (technical or commercial or both) to avoid any possible ambiguity in evaluation process or make apple-to-apple comparison or to bring further transparency in the evaluation process.

7.3. OPENING OF MINIMUM ELIGIBILITY AND TECHNICAL BIDS

7.3.1. Bids, except commercial bids, received within the stipulated time, shall be opened as per schedule given in the 'Critical information' sheet.

7.3.2. On the scheduled date and time, bids will be opened by the Bank Committee in presence of Bidder representatives. It is the responsibility of the bidder's representative to be present at the time, on the date and at the place specified in the tender document. The bidders' representatives who are present shall sign the

required documents evidencing their attendance and opening of bids in their presence.

- 7.3.3. If any of the bidders or all bidders who have submitted the tender and are not present during the specified date and time of opening, the Bank at its discretion will proceed further with opening of the technical bids in their absence.
- 7.3.4. The Bidder name, presence or absence of requisite EMD and such other details as the Bank, at its discretion, may consider appropriate will be announced at the time of bid opening.
- 7.3.5. Bids that are not opened at Bid opening shall not be considered for further evaluation, irrespective of the circumstances.

7.4. PRELIMINARY EXAMINATION:

- 7.4.1. The Bank will examine the Bids to determine whether they are complete, the documents have been properly signed, supporting papers/ documents attached and the bids are generally in order.
- 7.4.2. The Bank may, at its sole discretion, waive any minor infirmity, nonconformity or irregularity in a Bid which does not constitute a material deviation, provided such a waiver does not prejudice or affect the relative ranking of any Bidder.
- 7.4.3. Prior to the detailed evaluation, the Bank will determine the substantial responsiveness of each Bid to the Bidding document. For the purposes of these Clauses, a substantially responsive Bid is one for each state, which conforms to all the terms and conditions of the Bidding Document without material deviations. Deviations from or objections or reservations to critical provisions, such as those concerning Bid security, performance security, qualification criteria, insurance, Force Majeure etc. will be deemed to be a material deviation. The Bank's determination of a Bid's responsiveness is to be based on the contents of the Bid itself, without recourse to extrinsic evidence.
- 7.4.4. If a Bid is not substantially responsive, it will be rejected by the Bank and may not subsequently be made responsive by the Bidder by correction of the nonconformity.

7.4.5. The Bidder is expected to examine all instructions, forms, terms and specifications in the Bidding Document. Failure to furnish all information required by the Bidding Document or to submit a Bid not substantially responsive to the Bidding Document in every respect will be at the Bidder's risk and may result in the rejection of its Bid.

8.1 EVALUATION OF ELIGIBILITY CRITERIA:

- 8.1.1 Bids submitted by all the bidders would be evaluated for eligibility as mentioned in the 'Eligibility Criteria' section. Bids not complying with any of the eligibility criteria are liable to be rejected and will not be considered for further evaluation.
- 8.1.2 Successful bids out of this stage would be considered for technical evaluation.
- 8.1.3 Bidders must submit the proof of all the credentials as required for evaluation of eligibility criteria. Claims of the bidders without verifiable facts won't be considered as credentials towards satisfying eligibility criteria.

8.2 EVALUATION OF TECHNICAL BIDS

- 8.2.1 The technical bids will be evaluated for determining the continued eligibility of the Bidder for Project and compliance of the bids with the necessary technical requirements and scope of work of this tender.
- 8.2.2 SIDBI may seek specific clarifications from any or all the Bidder(s) at this stage. All the clarifications received within the stipulated time shall be considered for evaluation. In case satisfactory clarifications are not received from the bidders within the stipulated time, the respective technical parameters would be treated as non-compliant and decision to qualify the bidder shall be accordingly taken by SIDBI.
- 8.2.3 Technical bids would be evaluated on the parameters and presentation as below.

TECHNICAL BIDS EVALUATION CRITERIA –
AGENCY TO ROLL OUT SWAVALAMBAN CONNECT KENDRA

Each agency having credentials as stated in the scope of work will get marks as given below in the table:

Sr. No.	Details required/Parameters on which Bidder will be scored for agency to roll out Swavalamban Connect Kendra	Maximum Marks
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A	Bidder Credentials	
1	Physical presence of agency in 50% districts of the proposed/applied each state viz. Bihar, Jharkhand, Karnataka and Uttar Pradesh directly to operate Swavalamban Connect Kendra across different functions mentioned in scope of work.	
	(a) Presence in 50 % districts	5
	(b) More than 51% and up to 60%	10
	(c) More than 61%	15
2	Relevant experience of the agency in direct implementation of developmental projects related with micro-enterprise creation, enterprise promotion, bank linkage, credit connect to MSMEs etc during last 5 years. (Individual work order amount should be Rs.50 lakh or more)	
	(a) 3 work order	5
	(b) More than 3and up to 5 work order	10
	(c) More than 5 work order	15
3	No. of full-time on roll staff in India (Details of staff with PF No / any other proof to be submitted)	
	(a) Less than 10 staff	2
	(b) More than 10 and up to 20 staff	4
	(c) More than 20 and up to 30 staff	6
	(d) above 30 staff	10
4	Experience of agency in cumulative credit-connect / Bank Linkage through Formal Financial Institutions to MSEs / FPOs / SHGs etc. during last 5 years. (Sanction letter from Banks / FIs with proof of disbursement to the end user to be submitted)	
	(a) Loans up to `2 crore	2
	(b) Loans more than `2crore up to `4 crore	4
	(c) Loans more than `4crore up to `6 crore	6
	(d) Loans more than `6 crore	10
5	Relevant Experience and proven track record of proposed PMU Team Leader / Project Anchor in the field of enterprise promotion, entrepreneurship, skilling, credit mobilization through banks / FIs managing large scale development projects etc. (Supported CV)	
	(a) More than 5 years and up to 7 years	2
	(b) More than 7 years and up to 10 years	4
	(c) More than 10 years and up to 15 years	6
	(d) More than 15 years	10
5	Understanding note/write up on scope of work of the RfP and commenting on enterprise/entrepreneurship ecosystem in India and proposed sustainability framework for SCKs (not more than 1000 words)	10

	Total	70
B	Presentation on Proposed Plan, Proof of Concept and Roll out strategy*	
	(a) Presentation on capability statement, proposed action plan and Roll out strategy (including understanding of requirement and scope of work, implementation methodology and proposed team, originality of the proposal / concept, innovative ideas for effectiveness of engagement and possibilities of institutionalizing for long term sustainability)	30
	Total	30

*The focus of presentation shall be on above point (a) only.

- The Bank at its discretion may reject the proposal of the agency, without giving any reason whatsoever, if in the Bank's opinion the agencies could not present or demonstrate the proposed assignment.
- During evaluation of the Tenders, the Bank, at its discretion, may ask the Bidder for clarification in respect of its tender. The request for clarification and the response shall be in writing, and no change in the substance of the tender shall be sought, offered or permitted.
- The Bank's reserves the right to accept or reject any tender in whole or in parts without assigning any reason thereof. The decision of the Bank shall be final and binding on all the bidders to this document and bank will not entertain any correspondence in this regard.
- Documentary evidence must be submitted for each criteria and undertaking or declaration made by the agency must be on the company letter head and is to be signed by an authorized signatory.
- Completion Letter / Reference Letter from relevant Senior Executive of the agency to be attached for each engagement reference mentioned.

8.2.4 The technical bid will be analyzed and evaluated, based on which the Relative Technical Score (RS_{Tech}) shall be assigned to each bid on the basis of parameters mentioned above.

8.2.5 Relative Technical Score (RS_{Tech}) for each agency will be calculated as follows based on above parameters:

$$RS_{Tech} = T / T_{High} * 100$$

Where,

RS_{Tech}	=	Relative score obtained by the bidder
T	=	Technical score obtained by bidder
T_{High}	=	Highest Technical score secured among the Bidders

- 8.2.6 Technical Bids receiving a RS_{Tech} greater than or equal to a score of 70 (cut-off marks) will be eligible for consideration in the subsequent round of commercial evaluation.
- 8.2.7 If less than 3 bidders qualify as per above criteria ($RS_{Tech} \geq 70$), SIDBI reserves the right to short list maximum top 3 bidders subject to $RS_{Tech} \geq 60$.

8.3 COMMERCIAL BIDS:

- 8.3.1 The Bidder is required to quote in Indian Rupees ('INR/ ₹'). Bids in currencies other than INR may not be considered.
- 8.3.2 While any increase in the rates of applicable taxes or impact of new taxes subsequent to the submission of commercial bid shall be borne by SIDBI, any subsequent decrease in the rates of applicable taxes or impact of new taxes shall be passed on to SIDBI in its favor. This will remain applicable throughout the contract period.
- 8.3.3 The Commercial Bid should be submitted in the format prescribed in the RfP. Consideration of commercial bids, not submitted as per requisite format, will be at the discretion of the bank.

8.4 EVALUATION OF COMMERCIAL BIDS

- 8.4.1 In this phase, the Commercial Bids of the Bidders, who are found technically qualified in previous phase, will be taken for commercial evaluation.
- 8.4.2 The date for opening of commercial bids will be separately advised.
- 8.4.3 Relative Technical Score (RS_{Tech}) of the technically qualified bids would be announced before the representatives of the bidders and the commercial bids of those bidders would be opened for commercial evaluation.

8.4.4 The commercial bid evaluation will be done on the basis of quote for the assignment which shall comprise of following only:

- (a) Project management fee for the agency including Project Management Unit / zonal and field Manager set up, as per scope. (Including periodical assessment meeting of Project Management Unit with SIDBI)
- (b) Awareness and implementation cost at district level, including liasoning with stakeholders etc.
- (c) Cost of digital solution for scope including M&E and maintenance, if any.
- (d) Handholding support cost for 3rd year (12 months) for enterprise set up in initial 2 years

8.4.5 The quote shall be for 2 years of implementation and handholding support for 3rd year to be assessed for selecting the bidder at this stage.

8.4.6 The agency with the highest QCBS score will be selected for further discussion for finalizing contract subject to satisfying all the terms and conditions defined in this RfP document.

8.4.7 Relative Commercial Score (RS_{Com}) for each bidder will be calculated as follows:

$RS_{Com} = C_{Low} / C \times 100$

Where:

RS_{Com}	=	Relative score for Commercial Bid of the bidder
C	=	Commercial bid value of the bidder under consideration
C_{Low}	=	Lowest commercial bid value out of all the eligible commercial bids obtained.

8.5 FINAL SELECTION OF THE ELIGIBLE BIDDER

8.5.1 Total Relative Score (RS) obtained by each eligible bidder will be calculated as follows:

$$RS = RS_{Tech} \times 0.70 + RS_{Com} \times 0.30$$

- 8.5.2 The eligible bidder will be selected based on maximum Relative Score (RS) obtained.
- 8.5.3 The bidder with the highest Relative Score (RS) will be selected for further discussion.
- 8.5.4 In case of a tie after the commercial evaluation stage, the Bank's decision will be final and will be based on marks scored in the technical evaluation only.
- 8.5.5 The Bidders shall submit their offers strictly in accordance with the terms & condition of the Tender document.

9. TERMS AND CONDITIONS

9.1 General

- 9.1.1 The Bidders are expected to examine all instructions, forms, terms and specifications in the bidding documents. Failure to furnish all information required by the bidding documents may result in the rejection of its bid and will be at the bidder's own risk.
- 9.1.1 Information provided in this RfP is organized in several sections to bring clarity and help the reader to understand quickly. However, Bidder must take into consideration each and every line of this RfP document as a whole while responding. Bidder must get the doubts, if any, clarified by SIDBI before submitting the responses. The bids submitted should be complete in all respect meeting all deliverables under the project. It will be sole responsibility of the selected bidder to deliver each and everything as per the scope of the project during the contracted period. SIDBI shall not be responsible in case of bidder's failure to notice any information, any requirement is underestimated, not understood or any requirement is not interpreted in right direction during preparation/submitted the response.
- 9.1.2 Unless expressly overridden by the specific agreement to be entered into between the Bank and the successful Bidder, the RfP shall be the governing document for arrangement between the Bank and the Bidders.

9.2 DEFINITIONS:

In this Contract, the following terms shall be interpreted as indicated:

- 9.2.1 “The Bank” means Small Industries Development Bank of India (SIDBI).
- 9.2.2 ‘Bidder’ means the respondent to the RfP document.
- 9.2.3 ‘Successful Bidder’ refers to the bidder who gets selected by the bank after completion of evaluation process.
- 9.2.4 ‘RFP’ or ‘RfP’ or ‘Tender’ means the Request For Proposal document.
- 9.2.5 ‘Bid’ may be interchangeably referred to as ‘Offer’.
- 9.2.6 ‘BFSI’ implies Scheduled commercial banks in public or private sector / All India FIs / Insurance Companies will be considered under BFSI.
- 9.2.7 “The Contract” means the agreement entered into between the Bank, represented by its Head Office / Regional Offices / other offices of the Bank and the Vendor/Bidder/Supplier, as recorded in the Contract Form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein;
- 9.2.8 “Agency”, “Firm”, “Company”, “Bidder” “vendor” means any entity or person or associations of person (constitution of association of person may be in the form of any legal entity like partnership, public / private limited company, LLP, HUF, etc..) who have been identified to submit their proposal for providing services under this Contract.

9.3 REPRESENTATIVE:

- 9.3.1 The agency will assign a person, who will provide the interface facility and has the responsibility for managing the complete service delivery on Public Relations. He/She will be single point of contact on behalf of the agency.

9.4 LIQUIDITY DAMAGES FOR DEFAULT IN SERVICES:

- 9.4.1 In case of deficiency of services in arranging / conducting PR services up to the satisfaction of SIDBI, liquidated damages subject to the maximum 10% of the retainer fee per annum could be levied on the agency. The quantum of liquidated damages will be decided by a committee of officers of SIDBI whose decision shall be final and binding. However, the agency would be given an opportunity to make representation to the committee and clarify its position with regard to the deficiency and/or non-performance.

9.5 TENURE:

- 9.5.1 The tenure of the contract with the Agencies, so appointed, would run for 2 years from date of appointment under this RfP. However, this would be subject to satisfactory performance of each agency periodically or after a year, which would be solely adjudge by the Bank. In case the performance of any Agency/ies is/are deemed unsatisfactory, their contract will be terminated as per the provisions of the “Termination of Contract” clause mentioned in the RFP.
- 9.5.2 In case the need arises, SIDBI may, at its sole discretion, extend the contract for a further period of a maximum of one year on the terms and conditions of the contract entered into.

9.6 PRICE :

- 9.6.1 The fee/charges of the Highest Relative Score (RS) decided through the process described earlier would remain valid for the entire contract period.
- 9.6.2 The accepted price (fee/charges/commission) shall otherwise remain firm during the entire tenure of assignment including authorized period of extension of time and shall not vary on account of any increase/decrease of cost of material/labours or any other reasons whatsoever.

9.7 USE OF CONTRACT DOCUMENTS AND INFORMATION:

- 9.7.1 The Agency shall not, without the Bank’s prior written consent, disclose the Contract, or any provision thereof, or any specification, plan, drawing, pattern, sample or information furnished by or on behalf of the Bank in connection therewith, to any person other than a person employed by the Supplier in the performance of the Contract. Disclosure to any such employed person shall be made in confidence and shall extend only as far as may be necessary for purposes of such performance.
- 9.7.2 The Agency will treat as confidential all the data and information about the Bank, obtained in the execution of his responsibilities, in strict confidence and will not reveal such information to any other party without the prior written approval of the Bank.

9.8 SUBCONTRACTS:

9.8.1 The agency shall not sub-contract the services agreed to be rendered by the agency under this contract, except (i) if the existence of their office is not in the identified location; agreed by SIDBI and (ii) for services that are generally procured from outside agency in performing the activities envisage under this contract.

9.9 COMPLIANCE IN OBTAINING APPROVALS/ PERMISSIONS/ LICENSES:

9.9.1 The Bidder shall promptly and timely obtain all such consents, permissions, approvals, licenses, etc., as may be necessary or required for any of the purposes of this project or for the conduct of their own business under any applicable Law, Government Regulation/Guidelines and shall keep the same valid and in force during the term of the project, and in the event of any failure or omission to do so, shall indemnify, keep indemnified, hold harmless, defend, protect and fully compensate the Bank and its employees/ officers/ staff/ personnel/ representatives/agents from and against all claims or demands of liability and all consequences that may occur or arise for any default or failure on its part to conform or comply with the above and all other statutory obligations arising there from and the Bank will give notice of any such claim or demand of liability within reasonable time to the bidder.

9.10 TERMINATION OF CONTRACT:

9.10.1 In the event of non-performance of the bidder as decided by the Bank or any disputes or differences arising between the Parties hereto on any matter / provision set out in this RfP and subsequent Service Agreement for the selected Agency, the Parties shall try to resolve the matter amicably inter se. In case if the matter is not settled amicably and further Bank is not satisfied with the services of the agency or the agency failing to perform the services agreed to under this RfP, Bank shall issue a written notice of 15days to the agency informing the agency of its dissatisfaction or the non-performance of the agency and if the error is not rectified within the given time , Bank shall not be liable to pay the agency for the same for the period of non-performance by

agency and the decision of Bank in this regards shall be final and binding on the Service provider/agency.

9.10.2 In the event the agency does not comply with its obligations, on the termination of the notice period, Bank shall be at liberty to terminate the Agreement, without further notice, and shall additionally have the right to claim any further rights available under the law, including without limitation, the right to damages.

9.10.3 Upon termination of the Agreement, the Agency would promptly hand over to SIDBI all Deliverable Items, including work-in-progress, on “as is where is” condition subject to the mutual settlement of all money due and payable to them being paid. During the period of notification of termination, the Agency shall complete pending assignments and Bank shall agree to settle the dues in respect of assignments after completion thereof by the Agency, except if specifically instructed by the Bank to act otherwise.

9.10.4 The Bank would also have the right to terminate such Service Agreement with three months’ notice or such period as agreed without assigning any reason.

9.10.5 The Bank reserves the right to cancel the contract, without any prior notice, in the event of happening one or more of the following Conditions:

- ❖ Failure of the successful bidder to accept the contract
- ❖ Delay in services
- ❖ Serious problems in quality of services
- ❖ Services of the Agency not being considered satisfactory by the Bank

9.11 FORCE MAJEURE:

9.11.1 If the performance as specified in this order is prevented, restricted, delayed or interfered by reason of Fire, explosion, cyclone, floods, War, revolution, acts of public enemies, blockage or embargo, Any law, order, proclamation, ordinance, demand or requirements of any Government or authority or representative of any such Government including restrict trade practices or regulations, Strikes, shutdowns or labour disputes which are not instigated for the purpose of avoiding obligations herein, or Any other circumstances beyond the control of the party affected, then notwithstanding anything here before contained, the party affected shall be excused from its performance to the extent such

performance relates to prevention, restriction, delay or interference and provided the party so affected uses its best efforts to remove such cause of non-performance and when removed the party shall continue performance with utmost dispatch.

9.11.2 If a Force Majeure situation arises, the Bidder shall promptly notify the Bank in writing of such condition, the cause thereof and the change that is necessitated due to the conditions. Until and unless otherwise directed by the Bank in writing, the Bidder shall continue to perform its obligations under the Contract as far as is reasonably practical and shall seek all reasonable alternative means for performance not prevented by the Force Majeure event.

9.11.3 If either Party is unable to perform its obligation under this contract due to the occurrence of an event beyond its control (such as acts of God, war like situations, Riots, Bandhs, Government actions, Earthquakes, Cyclones, Typhoons, and other natural calamities etc.) that the party will not be deemed to have defaulted under this Contract. Each party would use all reasonable efforts to enable performance under this Contract to continue.

9.12 RESOLUTION OF DISPUTES:

9.12.1 It will be the endeavor of the Parties to resolve amicably any disputes or differences that may arise between the Bank and the Bidder from misconstruing the meaning and operation of the RFP and the breach that may result.

9.12.2 In case of Dispute or difference arising between the Bank and a bidder relating to any matter arising out of or connected with this agreement, such disputes or difference shall be settled in accordance with the Arbitration and Conciliation Act, 1996. The Arbitrators shall be chosen by mutual discussion between the Bank and the bidder OR in case of disagreement each party may appoint an arbitrator and such arbitrators may appoint an Umpire before entering on the reference. The decision of the Umpire shall be final.

9.12.3 The Bidder shall continue work under the Contract during the arbitration proceedings unless otherwise directed in writing by the Bank or unless the matter is such that the work cannot possibly be continued until the decision of the Arbitrator or the umpire, as the case may be, is obtained.

- 9.12.4 Arbitration proceedings shall be held at Lucknow, India, and the language of the arbitration proceedings and that of all documents and communications between the parties shall be English;
- 9.12.5 Notwithstanding anything contained above, in case of dispute, claim & legal action arising out of the contract, the parties shall be subject to the jurisdiction of courts at Lucknow, India only.
- 9.12.6 Any notice given by one party to the other pursuant to this Contract shall be sent to the other party in writing or by fax and confirmed in writing to the other party's specified address. The same has to be acknowledged by the receiver in writing.
- 9.12.7 A notice shall be effective when delivered or on the notice's effective date, whichever is later.
- 9.12.8 Good Faith: The Parties undertake to act in good faith with respect to each other's rights under the contract that may be entered into pursuant to this RfP and to adopt all reasonable measures to ensure the realization of the objectives / scope of this Contract.

9.13 No COMMITMENT TO ACCEPT LOWEST OR ANY OFFER

- 9.13.1 The Bank reserves the right to reject any or all the offers without assigning any reason thereof whatsoever.
- 9.13.2 The Bank will not be obliged to meet and have discussions with any bidder and/or to entertain any representations in this regard.
- 9.13.3 The bids received and accepted will be evaluated by the Bank to ascertain the best and lowest bid in the interest of the Bank. However, the Bank does not bind itself to accept the lowest or any Bid and reserves the right to reject any or all bids at any point of time prior to the order without assigning any reasons whatsoever. The bank reserves the right to re-tender.

9.14 TAXES AND DUTIES:

- 9.14.1 The bidder shall be entirely responsible for all applicable taxes, duties, levies, charges, license fees, road permits, etc. in connection with delivery of products/services at site including incidental services and commissioning.

9.14.2 The bidder must also ensure that all applicable laws framed by the Central Government, State Government and Local Bodies and all laws pertaining to contract employees/ labour laws are complied with while providing caretaker services. The selected bidder may have to execute an indemnity bond in favor of the Bank in this regard.

9.14.3 Providing clarifications/particulars/documents etc. to the appropriate tax authorities for assessment of tax, compliance with labour and other laws, etc. will be the responsibility of the bidder at his cost.

Tax deduction at Source - Wherever the laws and regulations require deduction of such taxes at the source of payment, the Bank shall effect such deductions from the payment due to the Bidder. The remittance of amounts so deducted and issuance of certificate for such deductions shall be made by the Bank as per the laws and regulations in force. Nothing in the Contract shall relieve the Bidder from his responsibility to pay any tax that may be levied in India on income and profits made by the Bidder in respect of the contract that may be entered into pursuant to this RfP.

9.15 STATUTORY AUTHORITY OBLIGATION, NOTICES, FEES AND CHARGES:

9.15.1 The agency shall comply with and give all notices required by any Act, any instrument, rule, or order made under any Act, or any regulation with regard to the assignment/services contemplated under this RfP.

9.15.2 The Agency shall at all time during the currency of the RfP/contract to be entered into pursuant to this RfP, comply with all applicable laws as they relate to its performance under the agreement. The agreement shall be governed, interpreted by and construed in accordance with the law of India.

9.16 RIGHT IN INTELLECTUAL PROPERTY AND MATERIALS:

9.16.1 All the rights relating to the Trade Marks and Copy Rights in respect of publicity work generated by the Agency on behalf of SIDBI and paid for by SIDBI shall vest with SIDBI. Provided, that SIDBI would reimburse the Agency for any sum

of money paid for assignment/licensing of the copy right as and by way of fee, charges, or otherwise as provide by the guidelines, regulation, rules, or policy of the professional body or association, with prior approval from SIDBI.

9.16.2 All concepts, communications, etc. created and conceived by the Agency on behalf of SIDBI under the services contemplated under this RfP, and involving name of SIDBI, shall not form part of any award or competition or promotion unless prior written consent of SIDBI has been obtained thereof.

9.17 CONFIDENTIALITY:

Information provide under this RfP and Subsequent service agreement is confidential and neither party shall at any time either during the association or at any time thereafter divulge either directly or indirectly to any person(s), firm or company, business entity, or other organization whatsoever, any confidential information that the other party may acquire during the course of such association or otherwise concerning the other party's business, property, contracts, trade secrets, clients or affairs.

9.18 CONDITIONAL BIDS

Conditional bids shall not be accepted on any ground and shall be rejected straightway. If any clarification is required, the same should be obtained before submission of bids.

9.19 CONTACTING THE BANK

9.19.1 After opening of Bid to the time a communication in writing about its qualification or otherwise received from the Bank, bidder shall NOT contact the Bank on any matter relating to its Bid.

9.19.2 Any effort by the Bidder to influence the Bank in its decisions on Bid evaluation, Bid comparison may result in the rejection of the Bidder's Bid.

9.20 THE AGENCY TO CONDUCT AS GOOD FAITH AND AS PER GOOD INDUSTRY PRACTICE:

9.20.1 Notwithstanding anything contained in this document. The Agency shall conduct its obligations as an agent in good faith and exercise reasonable care as per good Industry practice and for removal of doubt the degree of care shall

in no way less than what it is required as if it were a principle. While acting as an agent the Agency shall be responsible to Bank for all Acts of negligence, and for consequences emanating from lack of exercise of reasonable care. The Agency is bound to supervise the proper execution of contracts entered by it on behalf of Bank as its agent.

9.21 PERFORMANCE BANK GUARANTEE (PBG)

9.21.1 The successful Bidder shall provide an unconditional and irrevocable performance bank guarantee from Scheduled Commercial Bank in the form and manner provided by the Bank equivalent to 3% of contract value. The performance guarantee will be valid till at least six months beyond the expiry Annexure of the contract period. PBG is to be submitted within ONE month from the date of award of contract as per the format at **Annexure-XI** or provided by bank.

9.21.2 In the event of non-performance of obligation or failure to meet terms of this RfP/Contract, the Bank shall be entitled to invoke the performance guarantee without notice or right of demur to the Bidder. The guarantee should be from a scheduled commercial bank only.

9.21.3 In case of expiry of PBG prior to project completion, the bidder will be required to renew the PBG for further period as per plan. If PBG is not submitted within the time stipulated by SIDBI, the Bank reserves the right to cancel the order and forfeit the EMD.

9.21.4 PBG would be returned to the successful Bidder after the expiry or termination of the contract plus 90 days on satisfaction of the Bank that there are no dues recoverable from the successful Bidder.

9.21.5 Notwithstanding anything to the contrary contained in the contract, SIDBI shall be at liberty to invoke the Performance Bank Guarantee in addition to other remedies available to it under the contract / order or otherwise if the Successful

Bidder fails to fulfill any of the terms of contract / order or commits breach of any terms and conditions of the contract.

9.21.6 On faithful execution and completion of contract in all respects to the satisfaction of the Bank, the Performance Guarantee of the Bidder shall be released by SIDBI.

9.21.7 If aggregated shortfall in achieving Service Level requirement mentioned in the scope of work, SIDBI will, inter alia, be at liberty to invoke the performance guarantee in addition to other remedies available to it under the contract or otherwise.

9.21.8 Time shall be the essence of the contract / order, therefore, no extension of time is anticipated, but if untoward or extraordinary circumstances should arise beyond the control of the Bidder, which in the opinion of SIDBI should entitle the Bidder to a reasonable extension of time, such extension may be considered by SIDBI at its sole and absolute discretion, however such extension shall not operate to relieve the Bidder of any of its obligations. SIDBI shall not be liable for any extra financial commitment due to such extension of time. In case of any such extension, the Bidder would be required to extend the validity period of the performance guarantee accordingly.

9.22 FORFEITURE OF PERFORMANCE SECURITY

9.22.1 The Bank shall be at liberty to set off/adjust the proceeds of the performance guarantee towards the loss, if any, sustained due to the bidder's failure to complete its obligations under the contract. This is without prejudice to the Bank's right to proceed against the Bidder in the event of the security being not enough to fully cover the loss/damage.

9.22.2 In the event of non-performance of obligation or failure to meet terms of this RfP/Contract, the Bank shall be entitled to invoke the performance guarantee without notice or right of demur to the Bidder.

9.23 EXECUTION OF AGREEMENT AND NDA

- 9.23.1 The selected bidder should execute agreement with the Bank which will remain valid for at least 2 (two) years. Beyond the two years, the agreement can be extended at the discretion of the Bank with the same scope of work. The agreement would include all the terms and conditions of the services to be extended as detailed herein and as may be prescribed or recommended by the Bank which will include a Non-Disclosure Agreement clause.
- 9.23.2 The agreement with Non-disclosure agreement clauses should be executed within three weeks from the date of issuing sanction letter/letter of intent/work order.
- 9.23.3 The date of sanction letter/letter of intent/work order shall be treated as date of engagement and the time-line for completion of the assignment shall be worked out with reference to this date.

9.24 No EMPLOYER-EMPLOYEE RELATIONSHIP

- 9.24.1 The selected bidder during the term of the contract and for a period of two years thereafter shall not without the express written consent of the Bank, directly or indirectly:
- 9.24.2 Recruit, hire, appoint or engage or attempt to recruit, hire, appoint or engage or discuss employment with or otherwise utilise the services of any person who has been an employee or associate or engaged in any capacity, by the Bank in rendering the services in relation to the contract; or
- 9.24.3 Induce any person who shall have been an employee or associate of the Bank at any time to terminate his / her relationship with the Bank.
- 9.24.4 Staff deployed by the agency shall never be deemed to be appointed by the bank nor shall they be under its service conditions.
- 9.24.5 Statutory & Regulatory Compliance: The bidder should ensure all statutory and regulatory compliance towards: ESIC & EPFO – All bidders have to ensure that the resources deployed at SIDBI sites are compliant as per the guidelines of ESIC & EPFO and other Statutory and regulatory compliance as may be applicable from time to time with regard to transactions under RfP. The bidder

also has to ensure that they are compliant to all applicable laws for deployment of resources across sites nationwide. The bidder should follow all pay out norms as per the MWA in all the states and SIDBI will not liable for this under any situation. SIDBI may (but shall be obliged) call for proof of such payments by bidder and compliance any other Act/ regulations as may be applicable.

9.25 RIGHTS TO VISIT

- 9.25.1 All records of the Bidder with respect to any matters covered by this Tender document/ subsequent order shall be made available to SIDBI or its designees at any time during normal business hours, as often as SIDBI deems necessary, to audit, examine, and make excerpts or transcripts of all relevant data.
- 9.25.2 SIDBI, including its regulatory authorities like RBI etc., reserves the right to verify, through their officials or such other persons as SIDBI may authorise, the progress of the project at the development /customization site of the Bidder or where the services are being rendered by the bidder.
- 9.25.3 The Bank and its authorized representatives, including regulator like Reserve Bank of India (RBI) shall have the right to visit any of the Bidder's premises with prior notice to ensure that data provided by the Bank is not misused. The Bidder will have to cooperate with the authorized representative/s of the Bank and will have to provide all information/ documents required by the Bank.
- 9.25.4 The right to visit under these clauses shall be restricted to physical files related to this arrangement. Visit shall be conducted during normal business hours and on normal working days after informing the bidder in advance.

9.26 AUDIT:

- 9.26.1 The bidder shall allow the Bank, its authorised personnel, its auditors (internal and external), authorised personnel from RBI / other regulatory & statutory authorities and grant unrestricted right to inspect and audit its books and

accounts, to provide copies of any audit or review reports and findings made on the service provider, directly related to the services.

9.26.2 In case any of the services are further outsourced/ assigned/ subcontracted to other bidders, it will be the responsibility of the bidder to ensure that the authorities /officials as mentioned above are allowed access to all the related places, for inspection and verification.

9.26.3 Audit under this clause shall be restricted to physical files related to this arrangement. Audit shall be conducted during normal business hours and on normal working days after informing the bidder in advance.

9.27 IPR INFRINGEMENT

9.27.1 All the rights relating to the Trademarks and Copy Rights in respect of publicity work generated by the Agency on behalf of SIDBI and paid for by SIDBI shall vest with SIDBI. Provided, that SIDBI would reimburse the Agency for any sum of money paid for assignment/licensing of the copy right as and by way of fee, charges, or otherwise as provide by the guidelines, regulation, rules, or policy of the professional body or association, with prior approval from SIDBI.

9.27.2 All concepts, communications, etc. created and conceived by the Agency on behalf of SIDBI under the services contemplated under this RfP, and involving name of SIDBI, shall not form part of any award or competition or promotion unless prior written consent of SIDBI has been obtained thereof.

9.28 INDEMNITY

9.28.1 The Bidder/ successful bidder shall indemnify the Bank, and shall always keep indemnified and hold the Bank, its employees, personnel, officers, directors, harmless from and against any and all losses, liabilities, claims, actions, costs and expenses (including attorneys' fees) relating to, resulting directly or indirectly from or in any way arising out of any claim, suit or proceeding brought against the Bank as a result of:

- 9.28.1.1 Bank's authorized / bona fide use of the Deliverables and /or the Services provided by Bidder under this RfP document; and/or any subsequent agreement; and/or
 - 9.28.1.2 An act or omission of the Bidder, employees, agents, sub-contractors in the performance of the obligations of the Bidder under this RfP document or any subsequent agreement; and/or
 - 9.28.1.3 Claims made by employees or subcontractors or subcontractors' employees, who are deployed by the Bidder, against the Bank; and/or
 - 9.28.1.4 Breach of any of the term of this RfP document and/or of the agreement to be entered subsequent to this RfP or breach of any representation or false representation or inaccurate statement or assurance or covenant or warranty by the successful Bidder under this RfP document and/or of the agreement to be entered subsequent this RfP; and/or
 - 9.28.1.5 Negligence or gross misconduct attributable to the Bidder or its employees or sub-contractors.
 - 9.28.1.6 Any or all Deliverables or Services infringing any patent, trademarks, copyrights or such other Intellectual Property Rights; and/or
 - 9.28.1.7 Breach of confidentiality obligations of the Bidder contained in this RfP document; and/or
 - 9.28.1.8 The use of unlicensed and illegal Software and/or allied components by the successful Bidder
- 9.28.2 The Bidder will have to at its own cost and expenses defend or settle any claim against the Bank that the Deliverables and Services delivered or provided under this RfP document infringe a patent, utility model, industrial design, copyright, trade secret, mask work or trade mark in the country where the Deliverables and Services are used, sold or received, provided the Bank:
- 9.28.2.1 Notifies the Bidder in writing; and
 - 9.28.2.2 Cooperates with the Bidder in the defence and settlement of the claims.

9.28.2.3 The Bidder shall not be liable for defects or non-conformance resulting from:

9.28.2.4 Software, hardware, interfacing not approved by Bidder; or

9.28.2.5 Unauthorized modification of Software or any individual product supplied under this RfP document, or Bank's failure to comply with any mutually agreed environmental specifications.

9.28.2.6 Use of a Deliverable in an application or environment for which it was not designed or not contemplated under this Agreement,

9.28.2.7 Modification of a Deliverable by anyone other than Bidder where the unmodified version of the Deliverable would not be infringing.

9.28.3 All Bidders under the RfP absolutely, irrevocably and unconditionally hereby indemnifies and undertakes to keep SIDBI and / or its Directors, officers, employees, agents and representatives, for all times from and against all charges, cost, losses, claims, demands and liabilities, obligations, suits, judgments, penalties, proceedings, precautions, litigations, or actions, financial or otherwise, at law or equity, including the expenses of defending any claim of liability by any third party and from and against all actual damages sustained, whatsoever, whether past, or current suffered or incurred by SIDBI and or its Directors, officers, employees, agents, and representatives due to reasons of

- a) Breach, misconduct, omissions or
- b) Negligence on the part of Agency and or its directors, employees, in the performance of the services including but not limited to any claim arising out of improper or illegal use or adoption or invasion or infringement of the copyright or intellectual property right.

9.29 LIMITATION OF LIABILITIES

9.29.1 Save and except the liability under Section of 'IPR Infringement' in Clause 9.27 and indemnity provision in Clause 9.28 herein above, in no event shall either party be liable with respect to its obligations under or arising out of this agreement for consequential, exemplary, punitive, special, or incidental

damages, including, but not limited to, loss of data / programs or lost profits, loss of goodwill, work stoppage, computer failure, loss of work product or any and all other commercial damages or losses whether directly or indirectly caused, even if such party has been advised of the possibility of such damages. The aggregate liability of bidder / service provider, arising at any time shall not exceed the total contract value.

9.29.2 **Conflict of Interests:** The Bidder shall hold SIDBI's interests paramount, without any consideration for future work, and strictly avoid conflict of interest with other assignments or their own corporate interests. If during the period of the contract entered into pursuant to this RfP, a conflict of interest arises for any reasons, the bidder shall promptly disclose the same to the SIDBI and seek its instructions.

9.30 CONFIDENTIALITY

9.30.1 The RFP document is confidential and is not to be disclosed, reproduced, transmitted, or made available by the Recipient to any other person. Bank may update or revise the RFP document or any part of it. The Recipient acknowledges that any such revised or amended document is received subject to the same confidentiality undertaking. The Recipient will not disclose or discuss the contents of the RFP document with any officer, employee, consultant, director, agent, or other person associated or affiliated in any way with Bank or any of its customers or suppliers without the prior written consent of Bank.

9.30.2 This tender document contains information proprietary to the Bank. Each recipient is entrusted to maintain its confidentiality. It should be disclosed only to those employees involved in preparing the requested responses. The information contained in the tender document may not be reproduced in whole or in part without the express permission of the Bank. Disclosure of any such sensitive information to parties not involved in the supply of contracted services will be treated as breach of trust and could invite legal action. This will also mean termination of the contract and disqualification of the said Bidder.

9.30.3 “Confidential Information” means any and all information that is or has been received by the Bidder (“Receiving Party”) from the Bank (“Disclosing Party”) and that:

9.30.3.1 relates to the Disclosing Party; and

9.30.3.2 is designated by the Disclosing Party as being confidential or is disclosed in circumstances where the Receiving Party would reasonably understand that the disclosed information would be confidential or

9.30.3.3 is prepared or performed by or on behalf of the Disclosing Party by its employees, officers, directors, agents, representatives or consultants.

9.30.3.4 without limiting the generality of the foregoing, Confidential Information shall mean and include any information, data, analysis, compilations, notes, extracts, materials, reports, specifications or other documents or materials that may be shared by the Bank with the Bidder.

9.30.4 “Confidential Materials” shall mean all tangible materials containing Confidential Information, including, without limitation, written or printed documents whether machine or user readable.

9.30.5 The Receiving Party shall, at all times regard, preserve, maintain and keep as secret and confidential all Confidential Information and Confidential Materials of the Disclosing Party howsoever obtained and agrees that it shall not, without obtaining the written consent of the Disclosing Party:

9.30.6 Unless otherwise agreed herein, use any such Confidential Information and materials for its own benefit or the benefit of others or do anything prejudicial to the interests of the Disclosing Party or its customers or their projects.

9.30.7 In maintaining confidentiality hereunder, the Receiving Party on receiving the confidential information and materials agrees and warrants that it shall:

9.30.8 Take at least the same degree of care in safeguarding such Confidential Information and materials as it takes for its own confidential information of like importance and such degree of care shall be at least, that which is reasonably calculated to prevent such inadvertent disclosure;

- 9.30.9 Keep the Confidential Information and Confidential Materials and any copies thereof secure and in such a way so as to prevent unauthorized access by any third party;
- 9.30.10 Limit access to such Confidential Information and materials to those of its directors, partners, advisers, agents or employees, sub-contractors and contractors who are directly involved in the consideration/evaluation of the Confidential Information and bind each of its directors, partners, advisers, agents or employees, sub-contractors and contractors so involved to protect the Confidential Information and materials in the manner prescribed in this document; and upon discovery of any unauthorized disclosure or suspected unauthorized disclosure of Confidential Information, promptly inform the Disclosing Party of such disclosure in writing and immediately return to the Disclosing Party all such Information and materials, in whatsoever form, including any and all copies thereof.
- 9.30.11 The Receiving Party who receives the confidential information and materials agrees that on receipt of a written demand from the Disclosing Party:
- (i) Immediately return all written Confidential Information, Confidential materials and all copies thereof provided to, or produced by it or its advisers, as the case may be, which is in Receiving Party's possession or under its custody and control;
 - (ii) To the extent practicable, immediately destroy all analyses, compilations, notes, studies, memoranda or other documents prepared by it or its advisers to the extent that the same contain, reflect or derive from Confidential Information relating to the Disclosing Party.
 - (iii) So far as it is practicable to do so immediately expunge any Confidential Information relating to the Disclosing Party or its projects from any HW or other device in its possession or under its custody and control; and

- (iv) To the extent practicable, immediately furnish a certificate signed by its director or other responsible representative confirming that to the best of his/her knowledge, information and belief, having made all proper enquiries the requirements of this paragraph have been fully complied with.

9.30.12 The restrictions in the preceding clause shall not apply to:

- (i) Any information that is publicly available at the time of its disclosure or becomes publicly available following disclosure (other than as a result of disclosure by the Disclosing Party contrary to the terms of this document); or any information which is independently developed by the Receiving Party or acquired from a third party to the extent it is acquired with the valid right to disclose the same.
- (ii) Any disclosure required by law or by any court of competent jurisdiction, the rules and regulations of any recognized stock exchange or any enquiry or investigation by any governmental, statutory or regulatory body which is lawfully entitled to require any such disclosure provided that, so far as it is lawful and practical to do so prior to such disclosure, the Receiving Party shall promptly notify the Disclosing Party of such requirement with a view to providing the Disclosing Party an opportunity to obtain a protective order or to contest the disclosure or otherwise agree to the timing and content of such disclosure.
- (iii) The Confidential Information and materials and all copies thereof, in whatsoever form shall at all times remain the property of the Disclosing Party and its disclosure hereunder shall not confer on the Receiving Party any rights whatsoever beyond those contained in this document.

9.30.13 The confidentiality obligations shall survive the expiry or termination of the agreement between the Bidder and the Bank.

9.31 CORRUPT AND FRAUDULENT PRACTICE

9.31.1 As per Central Vigilance Commission (CVC) directives, it is required that Bidders / Suppliers / Contractors observe the highest standard of ethics

during the execution of this RfP and subsequent contract(s). In this context, the bidders are requested to note the following:

9.31.2 “Corrupt Practice” means the offering, giving, receiving or soliciting of anything of value to influence the action of an official in the procurement process or in contract execution.

9.31.3 “Fraudulent Practice” means a misrepresentation of facts in order to influence a procurement process or the execution of contract to the detriment of the Bank and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Bank of the benefits of free and open competition.

9.31.4 The Bank reserves the right to declare a bidder ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the bidder has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

9.32 GRIEVANCES REDRESSAL MECHANISM

9.32.1 Bank has a grievances redressal mechanism for its customers and designated grievances redressal officers. The bank would use the same mechanism to address the grievances, if any, of the customers related to the services being rendered within the ambit of this RfP.

9.33 MISCELLANEOUS / OTHERS

9.33.1 Bidder is expected to peruse all instructions, forms, terms and specifications in this RfP and its Annexures.

9.33.2 SIDBI shall not be held liable for additional costs incurred during any discussion on contracts or for any work performed in connection therewith.

9.33.3 The offers containing erasures or alterations will not be considered. There should be no hand-written material, corrections or alterations in the offer. Technical details must be completely filled up. SIDBI may treat proposals not

adhering to these guidelines as unacceptable and thereby the proposal may be liable to be rejected.

9.33.4 Bidder shall promptly notify SIDBI of any event or conditions, which might delay the completion of project in accordance with the approved schedule and the steps being taken to remedy such a situation.

9.33.5 Bidder shall indemnify, protect and save SIDBI against all claims, losses, costs, damages, expenses, action suits and other proceedings, resulting directly or indirectly from an act or omission of Bidder, its employees, its agents, in the performance of the services provided by contract, infringement of any patent, trademarks, copyrights etc. or such other statutory infringements in respect of all components provided by Bidder as part of the delivery to fulfill the scope of this project.

9.33.6 Any publicity by Bidder in which the name of SIDBI is to be used should be done only with the explicit written permission of SIDBI.

9.33.7 Bidder is obliged to give sufficient support to SIDBI's staff, work closely with SIDBI's staff, act within its own authority, and abide by directives issued by SIDBI that are consistent with the terms of the order. Bidder is responsible for managing the activities of its personnel, and will hold itself responsible for any misdemeanours.

9.34 NOTICES

Any notice, request or consent required or permitted to be given or made pursuant to the contract that may be entered into pursuant to this RfP shall be in writing. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent by registered post to such Party at the address specified in the RfP. A Party may change its address for notice hereunder by giving the other Party notice in writing of such change to the address specified in the RfP.

9.34.1 SIDBI reserves the exclusive right to make any amendments/ changes to or cancel any of the above actions or any other action related to this RfP.

Personnel engaged by the bidder for performance of its obligations under the work, shall, for all purpose, including applicability of law and welfare enactments, be the employee/staff of the bidder and they shall have no claim to be appointed in the services of the bank. Bidder shall take suitable measures for them in this regard.

9.34.2 SIDBI has the sole ownership of and the right to use, all data that may be in possession of the Successful bidder/agency or its representative during performing the services under the agreement/contract that may be entered. All documents, report, information, data etc. collected and prepared by bidder in connection with the scope of work submitted to SIDBI will be property of the Bank. The bidder shall not be entitled either directly or indirectly to make use of the documents, reports given by SIDBI for carrying out of any services with any third parties. Successful Bidder shall not without the prior written consent of SIDBI be entitled to publish studies or descriptive article with or without illustrations or data in respect of or in connection with the performance of services".

9.34.3 No third-party rights. No provision of the RfP the agreement that may be entered into is intended to, or shall, confer any rights on a third-party beneficiary or other rights or remedies upon any person other than the parties hereto; nor impose any obligations on the part of the parties to the agreement towards any third parties."

9.35 Representations and Warranties

To induce SIDBI to enter the Contract/Agreement/RfP, the Vendor/Bidder hereby represents and warrants as of the date hereof, which representations and warranties shall survive the term and termination hereof, the following:

That the Bidder is a company which has the requisite qualifications, skills, experience and expertise in providing Information and Communication Technology

(ICT) and other Service(s) contemplated hereunder to third parties, the technical know-how and the financial where with all, the power and the authority to enter into the Contract / Agreement/RfP and provide the Service(s)/Systems sought by SIDBI.

That the Vendor/ Bidder is not involved in any major litigation, potential, threatened and existing, that may have an impact of affecting or compromising the performance and delivery of Service(s) /Systems under the Contract/Agreement/RfP.

That the representations made by the Vendor/ Bidder in its bid are and shall continue to remain true and fulfil all the requirements as are necessary for executing the duties, obligations and responsibilities as laid down in the proposed Contract/Agreement and the Bid Documents and unless SIDBI in writing specifies to the contrary, the Vendor/Bidder shall be bound by all the terms of the bid.

That the Vendor/ Bidder has the professional skills, personnel and resources/authorizations that are necessary for providing all such services as are necessary to perform its obligations under the bid and the proposed Contract/Agreement.

That the Vendor/Bidder shall ensure that all assets including but not limited to software's, licenses, databases, documents, etc. developed, procured, deployed and created during the term of the Bid/Contract/Agreement are duly maintained and suitably updated, upgraded, replaced about contemporary and statutory requirements.

That the Vendor/ Bidder shall use such assets of SIDBI may permit for the sole purpose of execution of its obligations under the terms of the bid, Tender or the Contract/Agreement. The Bidder shall however, have no claim to any right, title, lien or other interest in any such property, and any possession of property for any duration whatsoever shall not create any right in equity or otherwise, merely by fact of such use or possession during or after the term hereof.

That the Vendor/Bidder shall procure all the necessary permissions and adequate approvals and licenses for use of various software and any copyrighted process/product free from all claims, titles, interests and liens thereon and shall keep SIDBI, its directors, officers, employees, representatives, consultants and agents indemnified in relation thereto.

That all the representations and warranties as have been made by the Vendor/Bidder with respect to its bid and Contract / Agreement, are true and correct, and shall continue to remain true and correct through the term of the Contract.

That the Bidder has the corporate power to execute, deliver and perform the terms and provisions of the Bid/Contract/Agreement and has taken all necessary corporate action to authorize the execution, delivery and performance by it of the bid/Contract/Agreement.

That all conditions precedent under the Bid/Contract/Agreement has been complied.

That neither the execution and delivery by the Vendor/Bidder of the Bid/Contract/Agreement nor the Vendor's/ Bidder's compliance with or performance of the terms and provisions of the Bid/Contract/Agreement

- i. will contravene any provision of any applicable law or any order, writ, injunction or decree of any court or governmental authority binding on the Vendor/ Bidder
- ii. will conflict or be inconsistent with or result in any breach of any or the terms, covenants, conditions or provisions of, or constitute a default under any agreement, contract or instrument to which the Vendor/ Bidder is a party or by which it or any of its property or assets is bound or to which it may be subject or
- iii. will violate any provision of the Memorandum and Articles of Association of the Vendor/ Bidder.

ANNEXURE-I

**Eligibility Bid - Covering Letter
(To be submitted on Prime Bidder's letter head)**

To:
The Deputy General Manager
Small Industries Development Bank of India
MSME Promotional Initiatives Vertical
6th Floor, SIDBI Tower, 15, Ashok Marg
Lucknow – 226001

Dear Sir,

Selection of agency to roll out Swavalamban Connect Kendra
Tender No. _____ dated January 02, 2023

We, the undersigned bidders, having read and examined the aforesaid RfP document in detail, do hereby propose to extend the services as specified in the above-mentioned Tender document and submit the following as per requirement:

1. Bid Price: We have deposited application fee through online fund transfer of the sum of ` **1,180/- (Rupees One Thousand one hundred and eighty only)** towards non-refundable bid price for Agency to roll out Swavalamban Connect Kendra.
2. Earnest Money Deposit (EMD): We have enclosed a Bank Guarantee (BG) / proof of online fund transfer of the sum of ` _____ **(State wise EMD to be deposited and detail EMD amount given in the table below)** towards EMD for agency to roll out Swavalamban Connect Kendra. This EMD is liable to be forfeited in accordance with the provisions mentioned in the RfP.

S.No.	State Name	No. of districts	EMD Amount (`)
1	Bihar	38	3,04,000/-
2	Jharkhand	24	1,92,000/-
3	Karnataka	31	2,48,000/-

4	Maharashtra	36	2,88,000/-
5	Uttar Pradesh	75	6,00,000

3. Minimum Eligibility bid, Technical bid and Commercial bid inside separate envelopes / files, in prescribed formats.

We hereby declare that our bid is made in good faith, without collusion or fraud and the information contained in the bid is true and correct to the best of our knowledge and belief.

We also undertake to have read, understood and accepted the terms and conditions specially those related to evaluation and selection processes mentioned in the RfP except the points mentioned in **Annexure-VII (Statement of deviations)** in our bid response. Having submitted our response to the aforesaid RfP, we also understand not to have any option to raise any objection against any of the said processes defined in the RfP in any future date. We understand that our bid is binding on us and persons claiming through us and that you are not bound to accept a bid you receive.

Thanking you,

Yours sincerely,

Date:

Place:

Signature of Authorised Signatory:

Name of the Authorised Signatory:

Designation :

Name of the Organization:

Seal ...

ANNEXURE - II

APPLICATION FOR SELECTION OF AGENCY TO ROLL OUT SWAVALAMBAN CONNECT KENDRA

(to be submitted on Agency letter head)

Date:

The Deputy General Manager
Small Industries Development Bank of India
MSME Promotional Initiatives Vertical
6th Floor, SIDBI Tower, 15, Ashok Marg
Lucknow – 226001

We hereby offer to submit our request for Selection of Agency to roll out Swavalamban Connect Kendra for two years as per proposal reference Tender RfP No. _____ (Tender-Agency to roll out Swavalamban Connect Kendra) dated January 02, 2023. We have read and understood the content of RfP and further state that we unconditionally accept and abide by the terms & conditions specified therein.

Brief Profile of our agency is as under:

Sr. No.	Brief Contents	Application has to be submitted
1	Name of the Agency	
2	Address, Telephone Numbers and Contact person(s)	
	Registered/Head office	
	Address of the other offices in the country	Please enclose Separate sheet along with annexure
	Legal status / Constitution of Agency	
3	Name of the Partners/ Directors	
4	Name of the Managing Director, Director, Top Management/ Key personnel contact Person/s Contact Tele/Mob. No. Email ID etc.	May enclose Separate sheet along with annexure
5	Details of Key Personnel of Agency who will be involved / coordinate in rolling out Swavalamban Connect Kendra (with age, qualifications, experience in years), notable skills and achievements etc. Contact Tele. No. Mobile No. Email ID etc.	

6	Date of establishment /incorporation & no. of years in similar business		
7	No. and the list of relevant development work being undertaken on similar lines during last 3 years prior to the date of RfP. The relevant order copies to be enclosed.		
	With GoI / UN agencies		
	With Private Sector		
	With Public Sector / PSU		
	Others, if any		
	Have you served / worked for SIDBI recently or in past please attach suitable proof.		
	Detail of awards, if any received		
8	Whether Agency is a Micro Small Medium Enterprise (MSME). If startup, please enclose substantiation documents	YES / NO	
9	Infrastructure		
	Details of support staff from the Agency		
	Are you a full or limited service agency?		
	Number of official working (separately for different offices)		
	Research / Analysis tool		
	Power back up		
	Computer hardware and software facilities		
	Working days and hours.		
	Whether you can provide desired service to SIDBI		
	Other details (Attach copy of returns)		
	PAN No.		
	GSTN No.		
	Nature of Main Activity		
	Membership with any organizations		
10	Turn over Details for last 3 FY	(Rs. in Lakh)	
	Year	Gross Turnover	

	(Copies of Audited Balance Sheet, Profit & Loss a/c with Auditors Report to be enclosed for last three years)		
11	List of organization with whom currently empaneled (satisfactory Performance Certificate as per Format – (not more than 6 months old) in support thereof to be enclosed)		
12	Principal Banker & Their Name		
13	PO/DD No:	Drawn on: dated:	
	Amount: enclosed as EMD		
	S.No.	State Name	No. of districts
	1	Bihar	38
	2	Jharkhand	24
	3	Karnataka	31
	4	Maharashtra	36
	5	Uttar Pradesh	75
	EMD Amount (`)		
			3,04,000/-
			1,92,000/-
			2,48,000/-
			2,88,000/-
			6,00,000
14	PO/DD No:	Drawn on: dated:	
	Amount:Rs.1,180/- enclosed as cost of RfP		
15	For the selection of Agency to roll out Swavalamban Connect Kendra, the Agency should submit proven established credentials regarding experience in this line in a summary sheet along with this application.		
16	Declaration: Self declarations on the firm / Company's Letter head that there are no outstanding income tax / sales tax dues/other statutory dues.		
17	Details of awards and accreditation received (attach proof)		
18	Two references from reputed / organizations / institutions		
19	Any other information as aligned to Minimum Eligibility Criteria and technical scoring patterns		

As per the Terms & Conditions, we have paid all necessary fee / money to SIDBI through online fund transfer or Bank Guarantee.

I/We here by certify that all particulars given above are correct and true to the best of my / our knowledge.

I/We certify that if appointed for assignment, I/We shall appoint separate teams for any competing clients who are in same business as SIDBI to avoid clash of interest and maintenance of confidentiality.



In case at any stage, it is found that the information given by me/us is false/incorrect, SIDBI shall have the absolute right to take any action as deemed fit, (including termination of contract and/or black list and debar), without any prior intimation to me/us.

Date:

Signature of authorized person for and on behalf
Designation/Seal of Agency

ANNEXURE - III

MINIMUM ELIGIBILITY CRITERIA –AGENCY TO ROLL OUT SWAVALAMBAN CONNECT KENDRA

S. No	Eligibility Criteria	Supporting documents required for compliance
1	The agency should have been in existence in India since April 01, 2018 or earlier. The agency should be a Partnership Firm/Private Limited Company/ Limited Liability Partnership Firm/MNC (Multinational Company) / Public Limited Company / Society / Trust / Section 8 company registered or incorporated in India. (It should not be an Individual / Proprietary Concern / HUF etc.) Start-ups registered under as start-up India, GoI and MSEs registered under MoMSME shall be exempted as per extant guidelines.	Self-certified Certificate of Incorporation / Constitutional Documents to be furnished. Such as Registered Partnership Deed, PAN, TAN, GSTIN Certificate and any other tax related document if applicable,
2	The agency should have physical presence/offices in minimum 50% of the districts of the proposed state as per RfP.	Details of address along with KYC documents of the existing offices.
3	If an agency considers that it may enhance its expertise for the assignment by associating with any other agency in the form of a Joint Venture or as consortium, it may do so. In such a case, credentials of lead organization shall only be considered.	Self-certified letter on company's letterhead (Lead organization), specifying the names of associating agencies to be furnished along with Annexure XVI and XVII.
3	Have average turnover of not less than Rs.2 crore during last three financial years. Start-ups registered under as Start-up India, GoI and MSEs registered under MoMSME shall be considered as per extant guidelines.	Audited financial statements of last 3 years. / CA certified statement for Annual turnover of previous 3 years Proof of being a start-up / MSE as per extant guidelines.
4	*The agency should have proven track record of implementing at least 3 multi-state development projects of minimum 50 lakh for providing services in development field and projects related with livelihood/enterprise creation and enterprise promotion.	A Self certified letter along with summary of projects with supporting evidence thereof as per Note mentioned below.

5	The agency should not have been penalised or found guilty in any court of law and shall not have been blacklisted / debarred by any Central Government Ministry/ Bank/ RBI/ IBA/ any regulatory authority and not involved in any major litigation that may have impact or compromise the delivery of services required.	Self- Certification by the agency on letter head to be provided. However, SIDBI would have the right to independently verify the same.
6	The agency / bidder should submit a certificate to the effect that the bidder is not owned or controlled by any director/officer/employee of SIDBI or their relatives.	Self-Declaration by the agency on Company's letter head
7	The agency has not defaulted to any Bank / Financial Institution within the jurisdiction of India	A self-certified letter on letter head to be submitted.
8	Should have fulfilled its tax / statutory obligation to the Govt	Company Secretary or Chartered Accountant certificate certifying no statutory / tax dues
9	The agency / bidder should submit Pre-Contract Integrity Pact as per format provided at Annexure - XV.	Pre-contract Integrity Pact duly signed by authorised signatory on non-judicial stamp paper of requisite value (cost to be borne by the bidder) as per format.

Note:

- a. Documentary evidence must be submitted for each criteria and all self-declaration mentioned shall be submitted separately.
- b. Completion Letter/ Reference Letter (Format given) from relevant Senior Executive of the client to be attached for each engagement reference mentioned
- c. *Summary of at least 3 projects, giving the following details in a separate sheet:
 - Name of the Client
 - Nature of the Services / Project implemented
 - Scope of the work
 - Number of partners / franchise / NGOs/ CBOs
 - Locations (State / District)
 - Date of award of Contract
 - Date of commencement of the services
 - Whether the work has been vetted / audited by any independent Agency / Institutional Service providers of Repute, and if so, outcome thereof. (Any third-party report to be attached)
 - Name of the person who can be referred to from Clients' side, with Name, Designation, Postal Address, Contact Phone and Fax numbers, E-Mail IDs, etc. (Attach copies of contracts)
- d. SIDBI reserves the right to ask for additional / alternate documents from the bidder in case of any clarification required.
- e. The service provider's meeting the eligibility criteria as laid out above will be taken forward to the next stage of technical evaluation.

- f. Non - submission of any of the specified documents by the bidder would result in rejection of bid.

Apart from the above, the Agency is also required to submit the following information:

Sr No	Document Required
2 (a)	Ownership and nature of entity (public, partnership, subsidiary, etc.).
2 (b)	Income Tax returns for past three years.
2(c)	Board resolution authorizing the authorized signatory to sign on behalf of the agency.
2(d)	Proof of address of registered office & Branch offices.
2(e)	GST Registration Certificate

Annexure - IV

The Deputy General Manager
Small Industries Development Bank of India
MSME Promotional Initiatives Vertical
6th Floor, SIDBI Tower, 15, Ashok Marg
Lucknow – 226001

Dear Sir,

Declaration Regarding Clean Track Record
Tender No. _____ dated January 02, 2023

I /we have carefully gone through the Terms & Conditions contained in the RFP No. Tender No. _____ dated January 02, 2023 regarding selection of Agency to roll out Swavalamban connect Kendra. We further declare that we are eligible and competent as per the eligibility criteria given by the bank and the information submitted by us in the **Annexure – III** is true and correct and able to perform this contract as per RfP document.

I/We hereby declare that I/we has/have not been debarred/ blacklisted by any Public-Sector Bank, RBI, IBA or any other Government / Semi Government organizations in India during last 3 years from the date of RfP. I/we further certify that I am /we are competent officer in my company to make this declaration that our bid and its terms & conditions is binding on us and persons claiming through us and that you are not bound to accept a bid you receive.

We further declare that I am / we are eligible and competent as per the eligibility criteria given by the bank and the information submitted by the company in **Annexure –III** is true and correct and also able to perform this contract as per RFP document.

Thanking you,

Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...
	Designation ...
	Name of the Organisation ...
	Seal ...

Annexure - V

Letter of Competence

(To be executed on a non-judicial stamp paper of worth Rs.100/-)

Selection of Agency to roll out Swavalamban Connect Kendra

Tender No. _____ dated January 02, 2023

This is to certify that we *[Insert name of Bidder]*, address are fully competent to undertake roll out and successfully implement Swavalamban Connect Kendra/services as per scope mentioned in the above RfP. This bid is being submitted after fully understanding the objectives of the project and requirements of providing services as mentioned in the captioned RfP.

We certify that the quality and number of resources to be deployed by us will be adequate to deliver the services professionally and competently within the prescribed time frame.

We also certify that all the information given by us in response to this RfP is true and correct.

Thanking you,

Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...
	Designation ...
	Name of the Organisation ...
	Seal ...

Annexure - VI

Letter of Conformity

(To be submitted on Prime Bidder's company letter head)

The Deputy General Manager
Small Industries Development Bank of India
MSME Promotional Initiatives Vertical
6th Floor, SIDBI Tower, 15, Ashok Marg
Lucknow – 226001

Dear Sir,

Selection of Agency to roll out Swavalamban Connect Kendra

Tender No. _____ dated January 02, 2023

We, the undersigned bidders, having read and examined the aforesaid RfP document, issued by SIDBI (hereinafter referred as 'Bank') do hereby covenant, warrant and confirm as follows:

We hereby agree to comply with all the terms and conditions / stipulations as contained in the RFP and the related addendums and other documents including the changes made to the original tender documents issued by the bank, provided however that only the list of deviations furnished by us in **Annexure-VII** of the main RfP document which are explicitly clarified by the Bank and communicated to us in writing, shall form a valid and binding part of the aforesaid RFP document.

The Bank is not bound by any other extraneous matters or deviations, even if mentioned by us elsewhere either in our proposal or any subsequent deviations sought by us, whether orally or in writing, and the Bank's decision not to accept any such extraneous conditions and deviations will be final and binding on us and persons claiming through us.

We also here by confirm that our prices as specified in our Commercial Bid are as per the Payment terms specified in the Tender document.

Thanking you,

Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...
	Designation ...
	Name of the Organisation ...
	Seal ...

Annexure - VII

(To be submitted on Bidder's company letter head)

Statement of Deviations**Selection of Agency to roll out Swavalamban Connect Kendra**

Tender No. _____ **dated January 02, 2023**

Bidders are required to provide details of all deviations, comments and observations or suggestions in the following format with seal and signature. You are also requested to provide a reference of the page number, state the clarification point and the comment/ suggestion/ deviation that you propose as shown below.

SIDBI may at its sole discretion accept or reject all or any of the deviations. However, it may be noted that the acceptance or rejection of any deviation by SIDBI will not entitle the bidder to submit a revised commercial bid.

Further, any deviation mentioned elsewhere in the response other than in this format shall not be considered as deviation by SIDBI.

List of Deviations				
S. N.	Clarification point as stated in the tender document	Page No. In RfP	Section No. in RfP	Comment/ Suggestion/ Deviation
1.				
2.				
3				

<additional rows may be added, if required>

Signature of Authorised Signatory:

Date
Place

Name of the Authorised Signatory:
Designation:
Name of the Organisation:
Seal:

Seal ...

Annexure - VIII

**PARTICULARS FOR SELECTION OF AGENCY TO ROLL OUT SWAVALAMBAN
CONNECT KENDRA
- COMMERCIAL BID
(to be submitted on their letter head)**

The Deputy General Manager
Small Industries Development Bank of India
MSME Promotional Initiatives Vertical
6th Floor, SIDBI Tower, 15, Ashok Marg
Lucknow – 226001

We hereby offer to submit our request for the Selection of Agency to roll out Swavalamban Connect Kendra for two years as per proposal reference **Tender No.** _____ **dated January 02, 2023 for the state of** _____. We have read and understood the content of RfP. We unconditionally accept & abide by the terms & conditions specified therein.

Particulars	Amount in Rs. (exclusive of taxes)
Project Management Fees (including set up of PMU, State / Zonal Office, Field Executives etc.)	
Cost of _____ SCKs (including awareness, handholding to aspirants, setting up of enterprises etc.)	
Handholding support cost for 3 rd year (12 months) for enterprise set up in initial 2 years	
Any other cost as per scope of work mentioned in RfP	
Total cost for 3 years (exclusive of all taxes) *	
Taxes	
Total cost for 3 years (Inclusive of all taxes)	

**Evaluation shall be done on the basis of total cost quoted for 3 years (exclusive of all taxes)*

Quoted cost would remain valid and same for 3 years of the contract.

I/We here by certify that all particulars given above are correct and true to the best of my/our knowledge.

I/We certify that if appointed for assignment, I/We shall appoint separate teams for any competing clients who are in same business as SIDBI to avoid clash of interest and maintenance of confidentiality.

In case at any stage, it is found that the information given by me/us is false/incorrect, SIDBI shall have the absolute right to take any action as deemed fit (including termination of contract and or blacklist and debar), without any prior intimation to me/us.

Date:

Signature of authorized person for and on behalf

Designation/Seal of Agency

Annexure - IX

Non-Disclosure Agreement

(Sample Format – To be executed on a non-judicial stamped paper of requisite value)

WHEREAS, we, * _____, having Registered Office at _____, (hereinafter referred to as the COMPANY, which expression shall include its successor and permitted assignees), are agreeable to execute “**Selection of Agency to roll out Swavalamban Connect Kendra**” as per proposal reference **Tender No.**_____ **dated January 02, 2023** for Small Industries Development Bank of India, having its Head office at SIDBI Tower, 15 Ashok Marg, Lucknow, 226001, and office at, Swavalamban Bhavan, Plot No. C-11, G Block, Bandra Kurla Complex (BKC), Bandra (E), Mumbai - 400 051 (hereinafter referred to as the BANK) and,

* description depends upon legal status of the party

WHEREAS, the COMPANY understands that the information regarding the Bank's Infrastructure shared by the BANK in their Request for Proposal is confidential and/or proprietary to the BANK, and

WHEREAS, the COMPANY understands that in the course of submission of the offer for the said RfP and/or in the aftermath thereof, it may be necessary that the COMPANY may perform certain jobs/duties on the Bank's properties and/or have access to certain plans, documents, approvals, data or information of the BANK.

NOW THEREFORE, in consideration of the foregoing, the COMPANY agrees to all of the following conditions, in order to induce the BANK to grant the COMPANY specific access to the BANK's property/information, etc.

The COMPANY will not publish or disclose to others, nor, use in any services that the COMPANY performs for others, any confidential or proprietary information belonging to the BANK, unless the COMPANY has first obtained the BANK's written authorisation to do so.

The COMPANY agrees that information and other data shared by the BANK or, prepared or produced by the COMPANY for the purpose of submitting the offer to the BANK in response to the said RfP, will not be disclosed to during or subsequent to submission of the offer to the BANK, to anyone outside the BANK.

The COMPANY shall not, without the BANK's written consent, disclose the contents of this Request for Proposal (Bid) or any provision thereof, or any specification, plan, pattern, sample or information (to be) furnished by or on behalf of the BANK in connection therewith, to any person(s) other than those employed/engaged by the COMPANY for the

purpose of submitting the offer to the BANK and/or for the performance of the Contract in the aftermath. Disclosure to any employed/ engaged person(s) shall be made in confidence and shall extend only so far as necessary for the purposes of such performance.

If a court finds any provision of this Agreement invalid or unenforceable, the remainder of this agreement shall be interpreted so as best to effect the intent of the parties.

Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...
	Designation ...
	Name of the Organisation ...
	Seal ...

Annexure - X

BANK MANDATE FORM

(To be submitted in Duplicate)

(Please fill in the information in CAPITAL LETTERS. Please TICK wherever it is applicable)

1. Name of Borrower / vendor / supplier : _____

Vendor Code (if applicable) _____

2. Address of the Borrower / vendor / supplier :

City _____ Pin Code _____

E-mail id: _____

Phone No. with STD code: _____

Mobile No.: _____

Permanent Account Number _____

MSME Registration / CA Certificate _____

(if applicable)

3. Particulars of Bank account:

Beneficiary Name																				
Bank Name					Branch Name															
Branch Place					Branch City															
PIN Code					Branch Code															
MICR No.																				
Account type	Saving				Current				Cash Credit											
Account No.	(as appearing in the Cheque book)																			
(Code number appearing on the MICR¹ cheque supplied by the Bank. Please attach a cancelled cheque of your bank for ensuring accuracy of the bank name, branch name & code and Account Number)																				
IFSC CODE ²	For RTGS transfer				For NEFT transfer															

4. Date from which the mandate should be effective _____ :

I hereby declare that the particulars given above are correct and complete. If any transaction is delayed or not effected for reasons of incomplete or incorrect information, I shall not hold SIDBI / IDBI Bank responsible. I also undertake to advise any change in the particulars of my account to facilitate updation of records for purpose of credit of amount through **RBI RTGS/NEFT.**

Place : _____

Date : _____ Signature of the party / Authorized Signatory

.....
Certified that particulars furnished above are correct as per our records.

Bank's stamp :

Date :

(Signature of the Authorized Official from the Banks)

N.B.: RTGS/NEFT charges if any, is to be borne by the party

^{1,2}: Note on IFSC / MICR

Indian Financial System Code (IFSC) is an alpha numeric code designed to uniquely identify the bank-branches in India. This is 11 digit code with first 4 characters representing the bank's code, the next character reserved as control character (presently 0 appears in the fifth position) and remaining 6 characters to identify the branch. The MICR code, (Magnetic Ink Character Recognition) that appears on cheques, has 9 digits to identify the bank-branch. RBI had since advised all the banks to print IFSC on cheque leaves issued to their customers. A customer may also contact his bank-branch and get the IFS Code of that branch.

Annexure XI

Performance Bank Guarantee

(To be executed on a non judicial stamp paper of requisite value by the Shortlisted Bidder)

KNOW ALL MEN BY THESE PRESENTS that in consideration of the Small Industries Development Bank of India (SIDBI), a Corporation constituted and established under the Small Industries Development Bank of India Act, 1989, and having its Head Office at SIDBI Tower, 15 Ashok Marg, Lucknow, 226001, and office at Swavalamban Bhavan, Plot No. C-11, G Block, Bandra Kurla Complex (BKC), Bandra (E), Mumbai - 400 051 (hereinafter called SIDBI) having agreed to award a contract to M/s. ' _____ having its office at ' _____, (hereinafter called "the Service Provider") for "**Agency to roll out Swavalamban Connect Kendra**" on the terms and conditions contained in the Purchase order No..... dated _____ placed with the Service Provider and SIDBI (hereinafter called "the said Order") which terms, inter-alia, stipulates for submission of performance Bank guarantee equivalent to 3 % of contract value i.e. _____ (Rupees _____ only), for the due fulfillment by the Service Provider of the terms and conditions of the said Order.

At the request of the Service Provider, (Bank name & address) _____, having its principal/ registered office at _____ and, for the purposes of this Guarantee, acting through its branch namely (Bank name & address) _____ (herein after referred to as (Bank name) _____ which term shall mean and include, unless to repugnant to the context or meaning thereof, its successors and permitted assigns), hereby issue our guarantee No _____ in favour of **Small Industries Development Bank of India (SIDBI)**

1. We, do hereby unconditionally and irrevocably undertake to pay to SIDBI, without any demur or protest, merely on receipt of a written demand in original before the close of banking business hours on or before _____, at our counters at (Bank address) _____ from SIDBI, an amount not exceeding _____ by reason of any breach by the Service Provider of the terms and conditions contained in the said Agreement, the opinion of SIDBI regarding breach shall be final, conclusive and binding.
2. We do hereby guarantee and undertake to pay forthwith on written demand to SIDBI such sum not exceeding the said sum of ` _____ (Rupees _____ only) as may be specified in such written demand, in the event of the Service Provider failing or neglecting to perform the said Order for "**Agency to roll out Swavalamban Connect Kendra**" to SIDBI in the manner and in accordance with the design specification, terms and conditions, contained or referred to in the said Order during its tenure.
3. We further agree that the guarantee herein contained shall remain in full force and effect till all obligations of the Service Provider under or by virtue of the said Order

have been fully and properly carried out or till validity date of this guarantee i.e. _____, whichever is earlier.

4. We undertake to pay to SIDBI all the money as per this Guarantee, notwithstanding any dispute or disputes raised by the Service Provider in any suit or proceeding pending before any court, tribunal or authority relating thereto or otherwise and our liability under these being absolute and unequivocal.
5. We further agree with you that SIDBI shall have the fullest liberty without our consent and without affecting in any manner our obligation hereunder (i) to vary any of the terms and conditions of the said Order (ii) to extend time for performance by the said Service Provider from time to time or postpone for any time (iii) to exercise or forbear to exercise any of the powers exercisable by SIDBI against said Service Provider and to forbear or enforce any of the terms and conditions relating to the said agreement and we shall not be relieved from our liability by reasons of any such variations or modifications or extension being granted to the said Service Provider for any forbearance act or omission on the part of SIDBI or any indulgence by the SIDBI to the said agreement or by any such matter or thing whatsoever which under the law relating to sureties would, but for this provisions, have an effect of so relieving us. However, nothing contained hereinbefore shall increase our liability under the guarantee above _____ or extend beyond _____
6. The liability under this guarantee is restricted to ` _____ (Rupees _____ only) and will expire on (date) _____ and unless a claim in writing is presented to us at counters at (bank & address) _____ on or before (date) _____ all your rights will be forfeited and we shall be relieved of and discharged from all our liabilities hereunder.
7. The Guarantee herein contained shall not be determined or affected by Liquidation or winding up or insolvency or closure of the Service Provider or any change in the constitution of the Service Provider or of the Bank.
8. The executants have the power to issue this guarantee on behalf of the Bank and they hold full and valid Power of Attorney granted in their favour by the Bank authorizing them to execute this guarantee.
9. Notwithstanding anything contained hereinabove, our liability under this guarantee is restricted to ` _____ (Rupees).
10. This guarantee shall remain in force until (date) _____. Our liability hereunder is conditional upon your lodging a demand or claim with us and unless a demand or claim is lodged with us on or before (date) _____, your rights under the guarantee shall be forfeited and we shall not be liable there under. This guarantee shall be governed by and construed in accordance with the laws of India. The Guarantee will be returned to the Bank when the purpose of the guarantee has been fulfilled or at its expiry, whichever is earlier.
11. We, (bank name, place) _____ lastly undertake not to revoke this guarantee during its currency except with the previous consent of SIDBI in writing.

12. Notwithstanding anything to the contrary contained herein, the liability of (bank name & place) under this guarantee is restricted to a maximum total amount of ` _____ (Rupees _____).
13. Our liability pursuant to this guarantee is conditional upon the receipt of a valid and duly executed written claim, in original, by (bank name & address) _____, delivered by hand, courier or registered post, prior to close of banking hours on (date) _____, failing which all rights under this guarantee shall be forfeited and (bank name & place) _____ shall be absolutely and unequivocally discharged of all of its obligations hereunder. This Guarantee shall be governed by and construed in accordance with the laws of India and competent courts in the city of (place _____) shall have exclusive jurisdiction.
14. Kindly return the original of this guarantee to (bank name & address) upon the earlier of (a) its discharge by payment of claims aggregating to ` _____ (Rupees _____) (b) fulfillment of the purpose for which this guarantee was issued; or (c) ____ (date)”
15. All claims under this guarantee will be made payable at (bank name & address) _____ by way of DD payable at Delhi.

In witness where of we have set and subscribed our hand and seal this day of2023.

SIGNED, SEALED AND DELIVERED.

BY

AT

IN THE PRESENCE OF WITNESS : 1) Name.....
Signature.....
Designation.....
2) Name.....
Signature.....
Designation.....

Annexure XII

Bid Security Form

(Sample Format -TO BE EXECUTED ON A NON-JUDICIAL STAMPED PAPER)

To: **SMALL INDUSTRIES DEVELOPMENT BANK OF INDIA**

WHEREAS (Name of Vendor) (hereinafter called the ‘the Vendor’) has undertaken, in pursuance of Request for Proposal (RFP) No. _____ **dated** January 02, 2023 for “**Selection of Agency to roll out Swavalamban Connect Kendra**” (Herein after called the ‘the RFP’) to you.

AND WHEREAS, it has been stipulated by you in the said RFP that the Vendor shall furnish you with a Bank Guarantee from a commercial Bank for the sum specified therein, as security for compliance with the Vendor’s performance obligations in accordance with the RFP.

AND WHEREAS we -----Bank having its registered / head office at ----- and inter alia a branch office situate at ----- have agreed to give a performance guarantee in lieu of EMD of ` ----- (Rupees ----- only) on behalf of the Vendor.

We -----Bank further undertake not to revoke and make ineffective the guarantee during its currency except with the previous consent of the buyer in writing.

We ----- Bank do hereby unconditionally and irrevocably undertake to pay to SIDBI without any demur or protest, merely on demand from SIDBI, an amount not exceeding Rs. ----- (----- only).by reason of any breach of the terms of the RFP dated ---- by vendor. We hereby agree that the decision of the SIDBI regarding breach of the terms of the RFP shall be final, conclusive and binding

WE do hereby guarantee and undertake to pay forthwith on demand to SIDBI a sum not exceeding `...../- (Rupeesonly) (amount of the Guarantee in words and figures) and we undertake to pay you upon your first written demand declaring the Vendor to be in default under the RFP and without cavil or argument, any sum or sums within the limit of `...../- (Rupees only) (Amount of guarantee) as aforesaid, without your needing to prove or to show grounds or reasons for your demand or the sum specified therein.

Our obligation to make payment under this Guarantee shall be a primary, independent and absolute obligation and we shall not be entitled to delay or withhold payment for any reason. Our obligations hereunder shall not be affected by any act, omission, matter or

thing which but for this provision might operate to release or otherwise exonerate us from our obligations hereunder in whole or in part, including and whether or not known to us or you:

1. Any time or waiver granted to the vendor;
2. The taking, variation, compromise, renewal or release of or refusal or neglect to perfect or enforce any rights, remedies or securities against the vendor;
3. Any Variation of or amendment to the RFP or any other document or security so that references to the Contract in this Guarantee shall include each such Variation and amendment;
4. any unenforceability, invalidity or frustration of any obligation of the VENDOR or any other person under the RFP or any other document or security waiver by you of any of the terms provisions conditions obligations UNDER RFP or any failure to make demand upon or take action against the VENDOR;
5. any other fact, circumstance, provision of statute or rule of law which might, were our liability to be secondary rather than primary, entitle us to be released in whole or in part from our undertaking; and;
6. any change in constitution of the vendor;
7. any petition for the winding up of the VENDOR has been admitted and a liquidator or provisional liquidator has been appointed or an order of bankruptcy or an order for the winding up or dissolution of the vendor has been made by a Court of competent jurisdiction;

The written demand referred to in paragraph above shall be deemed to be sufficiently served on us if you deliver to us at the address as set out in paragraph 3.

This guarantee is valid until the day of And a claim in writing is required to be presented to us within three months from i.e. on or before ----all your rights will be forfeited and we shall be relieved of and discharged from all our liabilities mentioned hereinabove.

Signature and Seal of Guarantors (Vendor's Bank)

.....

Date.....

Address

.....

.....

Annexure XIII

Conformity of Hardcopies

(To be submitted on Bidders Company letter head)

Date: _____

To

The Deputy General Manager
Small Industries Development Bank of India
MSME Promotional Initiatives Vertical
6th Floor, SIDBI Tower, 15, Ashok Marg
Lucknow – 226001

Dear Sir,

Conformity of Hardcopies

Tender No. _____ dated January 02, 2023

We, the undersigned bidders, having read and examined bid along with terms & conditions the aforesaid RfP document, issued by SIDBI and hereinafter referred as 'bank' do hereby covenant, warrant and confirm as follows.

The soft-copies of the proposal submitted by us in response to the RFP and the related addendums and other documents including the changes made to the original tender documents issued by the Bank, conform to and are identical with the hard-copies of aforesaid proposal submitted / will be submitted by us, in all respects.

Authorized Signatories

Name: _____

Designation: _____

Company Seal:

Annexure-XIV

Power of Attorney

RfP . _____ dated January 02, 2023
(Sample Format – To be executed on a non-judicial stamped paper of Rs.100/-)

BY THIS POWER OF ATTORNEY executed on _____, 2019, We _____, a Company incorporated under the Companies Act, 1956, having its Registered Office at _____ (hereinafter referred to as “the Company”) doth hereby nominate, constitute and appoint <Name>, <Employee no.>, < Designation> of the Company, as its duly constituted Attorney, in the name and on behalf of the Company to do and execute any or all of the following acts, deeds, matters and things, namely :-

- Execute and submit on behalf of the Company a Proposal and other papers / documents with ‘Small Industries Development Bank of India’ (“SIDBI”) relating to ‘Request for proposal Tender No. _____ dated January 02, 2023 for “selection of agency to roll out Swavalamban Connect Kendra” and to attend meetings and hold discussions on behalf of the Company with SIDBI in this regard.

THE COMPANY DOTTH hereby agree to ratify and confirm all whatsoever the attorney shall lawfully do or cause to be done under or by virtue of these presents including anything done after revocation hereof but prior to actual or express notice thereof being received by the person or persons for the time being dealing with the attorney hereunder.

IN WITNESS WHEREOF, _____ has caused these presents to be executed by _____ on the day, month and year mentioned hereinabove.

For and on behalf of the Board of Directors of _____

WITNESS:

Signature of _____

Attested

Annexure-XV

Pre Contract integrity Pact

(To be executed on a non-judicial stamp paper of worth Rs.100/-)

1 General

This pre-bid-pre-contract Agreement (hereinafter called the Integrity Pact) is made at _____ place___ on ---- day of the month of -----, 2023 between Small Industries Development Bank of India, having its Head Office at 15, Ashok Marg, Lucknow – 226001 and inter alia, its Corporate Office at Swavalamban Bhavan, C-11, G-Block, Bandra-Kurla Complex, Bandra(E), Mumbai 400051(hereinafter called the “SIDBI”, which expression shall mean and include, unless the context otherwise requires, its successors and assigns) of the First Part and M/s ----- represented by Shri ----, Chief Executive Officer (hereinafter called the “BIDDER” which expression shall mean and include, unless the context otherwise requires, his successors and permitted assigns) of the Second Part. WHEREAS the SIDBI proposes to appoint consultant Agency to roll out Swavalamban Connect Kendra and the BIDDER is willing to offer/has offered the services and WHEREAS the BIDDER is a private company/public company/Government undertaking/partnership/registered export agency, constituted in accordance with the relevant law in the matter and SIDBI is a corporation set up under an Act of Parliament. NOW, THEREFORE, To avoid all forms of corruption by following a system that is fair, transparent and free from any influence /prejudiced dealing prior to, during and subsequent to the currency of the contract to be entered into with a view to :-

Enabling SIDBI to obtain the desired said services at a competitive price in conformity with the defined specifications by avoiding the high cost and the distortionary impact of corruption on public procurement and

Enabling BIDDERS to abstain from bribing or indulging in any corrupt practice in order to secure the contract by providing assurance to them that their competitors will also abstain from bribing and other corrupt practices and SIDBI will commit to prevent corruption in any form by its officials by following transparent procedures.

The parties hereto hereby agree to enter into this integrity Pact and agree as follows:

2 Commitments of SIDBI

- 2.1 The SIDBI undertakes that no official of the SIDBI, connected directly or indirectly with the contract, will demand, take a promise for or accept, directly or through intermediaries, any bribe, consideration, gift, reward, favour or any material or immaterial benefit or any other advantage from the BIDDER, either for themselves or for any person, organization or third party related to the contract in exchange for an advantage in the bidding process, bid evaluation, contracting or implementation process related to the contract.
- 2.2 SIDBI will during the pre-contract stage, treat all BIDDERS alike, and will provide to all BIDDERS the same information and will not provide any such information to any particular BIDDER which could afford an advantage to that particular BIDDER in comparison to other BIDDERS.
- 2.3 All the officials of SIDBI will report to the appropriate Government office any attempted or completed breaches of the above commitments as well as any substantial suspicion of such a breach.
- 2.4 In case any such preceding misconduct on the part of such official(s) is reported by the BIDDER to SIDBI with full and verifiable facts and the same is prima facie found to be correct by SIDBI, necessary disciplinary proceedings, or any other action as deemed fit, including criminal proceedings may be initiated by SIDBI and during such a period shall be debarred from further dealings related to the contract process. In such a case while an enquiry is being conducted by SIDBI the proceedings under the contract would not be stalled.

3 Commitments of BIDDERS

The BIDDER commits itself to take all measures necessary to prevent corrupt practices, unfair means and illegal activities during any stage of its bid or during any pre-contract or post-contract stage in order to secure the contract or in furtherance to secure it and in particular commit itself to the following :-

- 3.1 The BIDDER will not offer, directly or through intermediaries, any bribe, gift, consideration, reward, favour, any material or immaterial benefit or other

advantage, commission, fees, brokerage or inducement to any officials of SIDBI, connected directly or indirectly with bidding process, or to any person, organization or third party related to the contract in exchange for any advantage in the bidding, evaluation, contracting and implementation of the contract.

- 3.2 The BIDDER further undertakes that it has not given, offered or promised to give, directly or indirectly any bribe , gift, consideration, reward, favour, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any official of SIDBI or otherwise in procuring the Contract or forbearing to do or having done any act in relation to the obtaining or execution of the contract or any other contract with the Government for showing or forbearing to show favour or disfavor to any person in relation to the contract or any other contract with the Government.
- 3.3 BIDDERS shall disclose the name and address of agents and representatives and Indian BIDDERS shall disclose their foreign principals or associates.
- 3.4 BIDDERS shall disclose the payments to be made by them to agents/brokers or any other intermediary, in connection with this bid/contract.
- 3.5 The BIDDER further confirms and declares to SIDBI that the BIDDER is the original manufacture/integrator/authorized government sponsored export entity of the defence stores and has not engaged any individual or firm or company whether Indian or foreign to intercede, facilitate or any way to recommend to SIDBI or any of its functionaries, whether officially or unofficially to the award of the contract to the BIDDER, or has any amount been paid, promised or intended to be paid to any such individual, firm or company in respect of any such intercession, facilitation or recommendation.
- 3.6 The BIDDER, either while presenting the bid or during pre-contract negotiations or before signing the contract, shall disclose any payments he has made, is committed to or intends to make to officials of SIDBI or their family members, agents, brokers or any other intermediaries in connection with contract and the details of services agree upon for such payments.

- 3.7 The BIDDER will not collude with other parties interested in the contract to impair the transparency, fairness and progress of the bidding process, bid evaluation, contracting and implementation of the contract.
- 3.8 The BIDDER will not accept any advantage in exchange for any corrupt practice, unfair means and illegal activities.
- 3.9 The BIDDER shall not use improperly, for purposes of competition or personal gain or pass on the others, any information provided by SIDBI as part of the business relationship, regarding plans, technical proposals and business details, including information contained in any electronic data carrier. The BIDDER also undertakes to exercise due and adequate care lest any such information is divulged.
- 3.10 The BIDDER commits to refrain from giving any complaint directly or through any other manner without supporting it with full and verifiable facts.
- 3.11 The BIDDER shall not instigate or cause to instigate any third person to commit any of the actions mentioned above.
- 3.12 If the BIDDER or any employee of the BIDDER or any person acting on behalf of the BIDDER, either directly or indirectly, is a relative to any of the officers of the BUYER or alternatively, if any relative of the officer of SIDBI has financial interest/stake in the BIDDER's firm, the same shall be disclosed by the BIDDER at the time of filling of tender.
- The term 'relative' for this purpose would be as defined in Section 2 (77) of the Companies Act, 2013.
- 3.13 The BIDDER shall not lend to or borrow any money from or enter into any monetary dealings or transactions, directly or indirectly, with any employee of SIDBI.

4 Previous Transgression

- 4.1 The BIDDER declares that no previous transgression occurred in the last three years immediately before signing of this integrity Pact, with any other company in any country in respect of any corrupt practices envisaged hereunder or with any Public Sector Enterprise in India or any Government Department in India that could justify BIDDER's exclusion from the tender process.

4.2 The BIDDER agrees that if it makes incorrect statement on this subject, BIDDER can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason.

5 Earnest Money (Security Deposit)

5.1 While submitting commercial bid, the BIDDER shall deposit an amount **Rs.....** as Earnest Money/Security Deposit as bid submitted for respective state, with SIDBI through any of the following instrument.

- (i) A confirmed guarantee by an Indian Nationalized Bank, promising payment of the guaranteed sum to SIDBI immediately on demand without any demur whatsoever and without seeking any reasons whatsoever. The demand for payment by the BUYER shall be treated as conclusive proof of payment.

OR

Through online fund transfer to SIDBI as per Bank account details mentioned above at page no. 06.

5.2 Earnest Money/Security Deposit shall be valid till the date of bid validity as mentioned in the RfP.

5.3 In case of the successful BIDDER a clause would also be incorporated in the Article pertaining to Performance Bond in the Purchase Contract that the provision of Sanctions for Violation shall be applicable for forfeiture of Performance Bond in case of a decision by SIDBI to forfeit the same without assigning any reason for imposing sanction for violation of this Pact.

5.4 No interest shall be payable by SIDBI to the BIDDER on Earnest Money/Security Deposit for the period of its currency.

6 Sanctions for Violations

6.1 Any breach of the aforesaid provision by the BIDDER or any one employed by it or acting on its behalf (whether with or without the knowledge of the BIDDER) shall entitle SIDBI to take all or any one of the following actions, wherever required :-

- i. To immediately call off the pre contract negotiations without assigning any reason or giving any compensation to the BIDDER. However, the proceedings with other BIDDER(s) would continue

- ii. The Earnest Money Deposit (in pre-contract stage) and /or Security Deposit/Performance Bond) (after the contract is signed) shall stand forfeited either fully or partially, as decided by SIDBI and SIDBI shall not be required to assign any reason therefore.
 - iii. To immediately cancel the contract, if already signed, without giving any compensation to the BIDDER
 - iv. To recover all sums already paid by SIDBI, and in case of Indian BIDDER with interest thereon at 2% higher than the prevailing Prime Lending Rate of State Bank of India, while in case of a bidder from a country other than India with interest thereon at 2% higher than LIBOR. If any outstanding payment is due to the bidder from the buyer in connection with any other contract for any other stores, such outstanding payment could also be utilized to recover the aforesaid sum and interest.
 - v. To encash the advance bank guarantee and performance bond/warranty bond, if furnished by the BIDDER, in order to recover the payments, already made by SIDBI along with interest.
 - vi. To cancel all or any other Contracts with the BIDDER, the BIDDER shall be liable to pay compensation for any loss or damage to SIDBI resulting from such cancellation/rescission and SIDBI shall be entitled to deduct the amount so payable from the money(s) due to the BIDDER
 - vii. To debar the BIDDER from participating in future bidding processes of the buyer or its associates or subsidiaries for minimum period of five years, which may be further extended at the discretion of SIDBI.
 - viii. To recover all sums paid in violation of this Pact by BIDDER(s) to any middleman or agent or broker with a view to securing the contract.
 - ix. In cases where irrevocable Letters of Credit have been received in respect of any contract signed by SIDBI with BIDDER, the same shall not be opened.
 - x. Forfeiture of Performance Bond in case of decision by SIDBI to forfeit the same without assigning any reason for imposing sanction for violation of this Pact.
- 6.2 SIDBI will be entitled to take all or any of the actions mentioned at para 6.1(i) to (x) of this Pact also on the commission by the BIDDER or any one employed by it or

acting on its behalf (whether with or without the knowledge of the BIDDER), of an offence as defined in Chapter IX of the Indian Penal code, 1860 or Prevention of Corruption Act, 1988 or any other statute enacted for prevention of corruption.

6.3 The decision of SIDBI to the effect that a breach of the provision of this Pact has been committed by the BIDDER shall be final and conclusive on the BIDDER. However, the BIDDER can approach the independent Monitor(s) appointed for the purposes of this Pact.

7 Fall Clause

7.1 The BIDDER undertakes that it has not supplied/is not supplying similar products /systems or subsystems at a price lower than that offered in the present bid in respect of any other Ministry/Department of the Government of India or PSU and if it is found at any stage that similar product/systems or sub systems was supplied by the BIDDER to any other Ministry/Department of the Government of India or a PSU at a lower price, then that very price, with due allowance for elapsed time, will be applicable to the present case and the difference in the cost would be refunded by the BIDDER to the BUYER, if the contract has already been concluded.

8 Independent Monitors

8.1 SIDBI is in the process of appointing Independent Monitors (hereinafter referred to as Monitors) for this Pact in consultation with the Central Vigilance Commission.

8.2 The task of the Monitors shall be to review independently and objectively, whether and to what extent the parties comply with the obligations under this Pact.

8.3 The Monitors shall not be subject to instruction by the representatives of the parties and perform their functions neutrally and independently.

8.4 Both the parties accept that the Monitors have the right to access all the documents relating to the project/procurement, including minutes of meetings.

8.5 As soon as the Monitor notices or has reason to believe, a violation of the Pact, he will so inform the Authority designated by SIDBI

8.6 The BIDDER(s) accepts that the Monitor has the right to access without restriction to all Project documentation of SIDBI including that provided by the BIDDER. The BIDDER will also grant the Monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his project documents. The

same is applicable to Subcontractors. The Monitor shall be under contractual obligation to treat the information and documents of the BIDDER/Subcontractor(s) with confidentiality

8.7 SIDBI will provide to the Monitor sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the parties. The parties will offer to the Monitor the option to participate in such meetings

8.8 The Monitor will submit a written report to the designed Authority of SIDBI within 8 to 10 weeks from the date of reference or intimation to him by SIDBI /BIDDER and should the occasion arise, submit proposals for correcting problematic situations.

9 Facilitation of Investigation

In case of any allegation of violation of any provision of this Pact or payment of commission, SIDBI or its agencies shall be entitled to examine all the documents including the Books of Accounts of the BIDDER and the BIDDER shall provide necessary information and documents in English and shall extend all possible help for the purpose of such examination.

10 Law and Place of Jurisdiction

This Pact is subject to Indian Law. The place of performance and jurisdiction is the seat of SIDBI.

11 Other Legal Actions

The action stipulated in this integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

12 Validity

12.1 The validity of this Integrity Pact shall be from date of its signing and extend upto 5 years or the complete execution of the contract to the satisfaction of both SIDBI and the BIDDER, in case BIDDER is unsuccessful (unsuccessful bidder), this integrity Pact shall expire after six months from the date of the signing of the contract.



12.2 Should one or several provisions of the Pact turn out to be invalid, the remainder of this Pact shall remain valid. In this case, the parties will strive to come to an agreement to their original intentions.

13. The parties hereby sign this integrity Pact, at _____ on _____

SIDBI

BIDDER

Name of the Officer

Designation

CHIEF EXECUTIVE OFFICER

SIDBI

Witness

Witness

1. _____

1. _____

2. _____

2. _____

Annexure-XVI

Draft Format of Joint Bidding Agreement (in case of JV /Consortium)

(To be executed on stamp paper of appropriate value)

THIS JOINT BIDDING AGREEMENT is entered into on this the day of.....2023...

BETWEEN

1. [•], (a company incorporated under the Companies Act, 1956/ 2013 and having its registered office at] (hereinafter referred to as the "First Part" which expression shall, unless repugnant to the context include its successors and permitted assigns)

AND

2. [•]+, (a company incorporated under the Companies Act, 1956/ 2013 and having its registered office at]..... (hereinafter referred to as the "Second Part" which expression shall, unless repugnant to the context include its successors and permitted assigns)

The above mentioned parties of the [FIRST, AND SECOND] PART are collectively referred to as the "Parties" and each is individually referred to as a "Party"

WHEREAS,

- (A) Small Industries Development Bank of India (SIDBI), having its head office at SIDBI Tower, 15, Ashok Marg, Lucknow – 226001 and an office at 3rd Floor, Atma Ram House, 1, Tolstoy Road, New Delhi-110001, India (hereinafter referred to as the "Bank" which expression shall, unless repugnant to the context or meaning thereof, include its administrators, successors and assigns) has invited proposals by its Request for Proposal No. _____ dated January 02, 2023 (the "RFP") for selection of agency to roll out Swavalamban Connect Kendra.
- (B) The Parties are interested in jointly bidding for the assignment as members of a Consortium and in accordance with the terms and conditions of the RFP document and other bid documents in respect of the assignment, and
- (C) It is a necessary condition under the RfP document that the members of the Consortium enter into a Joint Bidding Agreement and furnish a copy thereof with the Application.

NOW IT IS HEREBY AGREED as follows:

1. Definitions and Interpretations

In this Agreement, the capitalised terms shall, unless the context otherwise requires, have the meaning ascribed thereto under the RFP.

2. Consortium

- a. The Parties do hereby irrevocably constitute a consortium (the "Consortium")

for the purposes of jointly participating in the selection process for the assignment.

- b. The Parties hereby undertake to participate in the Bidding process only through this Consortium and not individually and/ or through any other consortium constituted for this assignment, either directly or indirectly or through any of their Affiliates.

3. Covenants

The Parties hereby undertake that in the event the Consortium is declared the selected agency and awarded the assignment, the Parties shall enter into a contract for consultancy services ("Contract") with the Authority and for performing all obligations as the agency in terms of the Contract for the assignment.

4. Role of the Parties

The Parties hereby undertake to perform the roles and responsibilities as described below:

- a. Party of the First Part shall be the Member in Charge of the Consortium shall have the power of attorney from all Parties for conducting all business for and on behalf of the Consortium during the selection process for the assignment and until the Effective Date under the Contract.
- b. Party of the Second Part shall be [•].

5. Joint and Several Liability

The Parties do hereby undertake to be jointly and severally responsible for all obligations and liabilities relating to the assignment and in accordance with the terms of the RFP and the Contract, for the performance of the Contract.

6. Member in Charge or Lead Member

Without prejudice to the joint and several liabilities of all the Parties, each Party agrees that it shall exercise all rights and remedies under the Contract through the Lead Member and the Bank shall be entitled to deal with such Lead Member as the representative of all Members.

7. Authorised Representation

The parties agree that, who is employed with the member in charge, or his/her nominee shall be the authorized representative of the consortium, to do on behalf of the Consortium, all such acts, deeds and things as are necessary or required in connection with or incidental to submission of the Consortium's proposal for and the assignment including but not limited to signing and submission of all applications, proposals and other documents, participating in pre-bid and other conferences and providing information/responses to the authority, representing the consortium in all matters before the authority, signing and execution of all contracts and undertakings consequent to acceptance of the Consortium's proposal and generally dealing with the Bank in all matters in connection with or relating or arising out of the assignment.

8. Termination

This Agreement shall be effective from the date hereof and shall continue in full force and effect until the Effective Date under the Contract in case the assignment is awarded to the Consortium. However, in case the Consortium is not selected for award of the assignment, the Agreement will stand terminated upon intimation by the Bank that it has not been selected and upon return of the Bid Security by the Bank.

9. Miscellaneous

This Joint Bidding Agreement shall be governed by laws of India. The Parties acknowledge and accept that this Agreement shall not be amended by the Parties without the prior written consent of the Authority.

IN WITNESS WHEREOF THE PARTIES ABOVE NAMED HAVE EXECUTED AND DELIVERED THIS AGREEMENT AS OF THE DATE FIRST ABOVE WRITTEN.

SIGNED, SEALED AND DELIVERED For	SIGNED, SEALED AND DELIVERED
and on behalf of MEMBER IN CHARGE	
by:	SECOND PART
(Signature)	(Signature)
(Name)	(Name)
(Designation)	(Designation)
(Address)	(Address)

In the presence of:

- 1.

- 2.

Annexure-XVII

Draft Format of Power of Attorney for Lead Member of JV / Consortium

(To be executed by all members of the Consortium)

Whereas the Small Industries Development Bank of India (the "Bank") has invited proposals from agency for Selection of agency to roll out Swavalamban Connect Kendra.

Whereas, and..... (Collectively the " JV/Consortium") being Members of the JV / Consortium are interested in bidding for the assignment in accordance with the terms and conditions of the RfP and other connected documents in respect of the assignment, and

Whereas it is necessary for the members of the Consortium to designate one of them as the Member in Charge with all necessary power and authority to do for and on behalf of the Consortium, all acts, deeds and things as may be necessary in connection with the Consortium's bid for the assignment and its execution.

NOW, THEREFORE, KNOW ALL MEN BY THESE PRESENTS

We, [name of Party] having our registered office at [registered address], M/s. [name of Party], having our registered office at [registered address],and M/s.[name of Party], having our registered office at [registered address], (hereinafter collectively referred to as the "Principals") do hereby irrevocably designate, nominate, constitute, appoint and authorise M/s [name of Member In• charge], having its registered office at [registered address], being one of the Members of the Consortium, as the Member In-charge and true and lawful attorney of the Consortium (hereinafter referred to as the "Attorney") and hereby irrevocably authorise the Attorney (with power to sub• delegate to any person) to conduct all business for and on behalf of the Consortium and any one of us duringthe bidding process and, in the event the Consortium is awarded the Contract, during the performance of the services related to the assignment, and in this regard, to do on our behalf and on behalf of the Consortium, all or any of suchacts, deeds or things as are necessary or required or incidental to the submission of its bid for the assignment, including but not limited to signing and submission of all applications, bids and other

documents and writings, accept the Letter of Acceptance, participate in bidders' and other conferences, respond to queries, submit information/documents, sign and execute contracts and undertakings consequent to acceptance of the bid of the Consortium and generally to represent the Consortium in all its dealings with the Authority, and / or any other government agency or any person, in all matters in connection with or relating to/ or arising out of the Consortium's bid for the assignment and / or upon award thereof until the Contract is entered into with the Authority.

AND hereby agree to ratify and confirm and do hereby ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us / Consortium.

IN WITNESS WHEREOF WE THE PRINCIPALS ABOVE NAMED HAVE EXECUTED
THIS POWER OF ATTORNEY ON THIS DAY
OF....., 2023.....

For
(Signature Name & Title)

For.....
(Signature, Name & Title)

(Executants) (To be executed by all the Members of the Consortium)

Witnesses:

- 1.
- 2.

Notes:

In case of a consortium, above form has to be submitted for each consortium member

and Minimum Eligibility Criteria should be satisfied by the Lead Member.

FORMAT-I

**PARTICULARS IN RESPECT OF AGENCY CONTRACT OF SIMILAR NATURE OBTAINED IN THE LAST
FIVE YEARS**

Sr. No.	Name and address of the client with contact numbers	Value of work executed in `	Date of award of contract	Period of Contract		Details of feedback / appreciation letters (attach proof)
				From	To	

Please enclose the copies of work order / agreement and completion certificate from the client (employer) for each of the work.

FORMAT-II

DETAILS OF WORK WITH OTHER AGENCY

S. No.	Name and address of institution with contact No	Category / Type of work for which engaged	Registered/ implemented for value of work upto	Date of engagement and validity of assignment

Please enclose the copies of the letter of empanelment / sanction letter of each organization.

FORMAT III

(On letterhead duly stamped and signed)

Date:

TO WHOMEVER IT MAY CONCERN

This is to certify that M/s _____ is agency appointed to manage (name of the project) _____ since _____. The performance of the agency is satisfactory during their tenure with us.

Name & Designation of the Signatory

(Duly supported by the rubber stamp of the institution)

FORMAT –IV

DETAILS OF RESOURCES / INFRASTRUCTURE FACILITIES

1	Details of Manpower	
	(a) Manager	
	(b) Supervisor	
	(c) skilled workers	
	(d) unskilled workers	
2	Details of infrastructure facilities available (details of Inhouse facilities/experience)	
3	Any other relevant information.	

FORMAT V

FORMAT OF FINANCIAL INFORMATION (TURNOVER / PROFIT & LOSS, ETC.)
DURING LAST THREE YEARS)

S.No.	Financial Year	Annual Turnover (in ` lakh)	Profit / Loss (in ` lakh)	Net Worth (in ` lakh)	Documents Enclosed as proof

Note: please enclose copy of Profit & Loss account and Balance Sheet duly audited / certified by CA.

Indicative Project Execution Structure / Process

